Pion electro-production in the 1st **and** 2nd **resonance regions**

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• Understand (****, ***) baryon spectra: masses, widths, form-factors



- Understand structure of resonances, related to
 - confinement
 - chiral symmetry of QCD (meson cloud)
- Distinguish structure from reaction mechanisms, compare to models
- Current focus of "overall" phenomenological analyses is above $\approx 1.7 \text{ GeV}$

Key issues with $P_{33}(1232)$

- Textbooks say mostly OK, but...
- EMR/CMR at low *Q*²: situtation unclear (both theory and exp)
- Discrepancies at $Q^2 \approx 1$
- Transition to pQCD (EMR \rightarrow 1, CMR \rightarrow const) not established
- Calculation of reaction amplitudes on the Lattice in its infancy Alexandrou++ PRL 94 (2005) 021601



low Q^2



- pion-cloud physics at low Q^2 : MIT-Bates, A1/Mainz
- stringent constraints on models, both quark and baryon sector
- experimentally, tremendous advantage in polarization DOFs
- transition to pQCD at very high *Q*²: JLab (?)

$N \rightarrow \Delta(1232)$ transition

high Q^2



Ungaro++ PRL **97** (2006) 112003

Key issues with $P_{11}(1440)$... and $S_{11}(1535)$

- Large width of Roper
- Atypical behaviour of $\text{Im}T_{\pi N}$ in P11
- Inconsistent *M*, Γ (πN XS ... 1470, 350 MeV), (|d*T*/d*W*|) ... 1375, 180 MeV for the Roper
- Large width of $S_{11}(1535) \rightarrow \eta N$ at threshold
- Level ordering (parity inversion) of $P_{11}(1440)$ wrt. $S_{11}(1535)$

 \times Hard to reconcile in 3q picture (OZI-violating):

- Large S₁₁(1535) $\rightarrow \phi$ N coupling in near-threshold pp \rightarrow pp ϕ , π^- p \rightarrow n ϕ Xie++ PRC 77 (2008) 015206
- Large $S_{11}(1535) \rightarrow \Lambda N$ coupling in $\Psi \rightarrow p\bar{p}$, $\bar{p}\Lambda K^+$ Liu++ PRL 96 (2006) 042202

SAID PWA of πN scattering in P_{11} channel



SAID PWA of πN scattering in P_{11} channel



SAID FA02 $M_{BW} = (1468 \pm 4.5) \text{ MeV}, \Gamma/2 = (180 \pm 13) \text{ MeV}$ $M_{pole} = 1357 - i \, 80 \text{ MeV} (\text{I RS})$ $1385 - i \, 83 \text{ MeV} (\text{II RS})$ contd.

Roper pole-ology



Arndt++ PRC 52 (1995) 2120, 69 (2004) 035213

- single BW with two poles on different Riemann sheets
- doublet ?

Roper in quark models

• Spherically symmetric SU(6) Radial excitation ("breathing mode") of proton $(1s)^3 \rightarrow (1s)^2(2s)^1$ Sizeable monopole strength (C0 / $S_{1/2}^p$ / S_{1-}) + dipole (M1 / $A_{1/2}^p$ / M_{1-})



Same quantum numbers as (q^3) , indistinguishable by spectroscopy alone Equal radial WF \Rightarrow C0 suppressed, no "breathing", M1 dominates Roper mass through QCD sum rules in pQCD (asymptotic electroproduction rate off (q^3g) vs. (q^3))

Constituent, semi-relativistic, relativistic QM and QM with meson DOFs
 Extensive studies with varying success
 Mostly limited to masses and photo-couplings

Additional qq components



- \approx 30% admixture of qqqqq components in the Roper $\Rightarrow \Gamma(\text{theory}) = \Gamma(\text{exp})$ Li, Riska PRC 74 (2006) 015202
- Lowest 5q configuration in $S_{11}(1535)$ is qqqs \bar{s}
 - \Rightarrow correct P₁₁(1440) wrt. S₁₁(1535) mass ordering
 - ⇒ large $S_{11}(1535) \rightarrow \phi N$, ΛK couplings without OZI violation

An, Zou nucl-th/0802.3996



$P_{11}(1440)$ and $S_{11}(1535)$ on the Lattice

- close to CL, effects of CSB important
- level ordering should change with m_q Heavy q: 1st radial above 1st orbital exc CL: reversed levels

Bern-Graz-Regensburg / PRD **70** (2004) 054502

- "... do not attempt a chiral extrapolation of our data ... numbers seem to approach the experimental data reasonably well"
- "... the Roper's leading Fock component is a 3-quark state"

Kentucky / PLB 605 (2005) 137

"...+ and – parity excited states of the nucleon tend to cross over as the quark masses are taken to the chiral limit. Both results at the physical pion mass agree with the exp values ... seen for the first time in a lattice QCD calculation"

"...a successful description of the Roper resonance depends not so much on the use of the dynamical quarks, but that most of the essential physics is captured by using light quarks to ensure the correct chiral behavior"



Formalism for $p(\vec{e}, e'p)\pi$

Tremendous simplification when only beam is polarized:

 $\frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \sigma_{\mathrm{T}} + \varepsilon \sigma_{\mathrm{L}} + \sqrt{2\varepsilon(\varepsilon+1)}\sigma_{\mathrm{LT}}\cos\phi + \varepsilon \sigma_{\mathrm{TT}}\cos 2\phi + h\sqrt{2\varepsilon(1-\varepsilon)}\sigma_{\mathrm{LT}'}\sin\phi$

Separate strong and EM vertex:



With sufficient angular coverage: extract Legendre moments

$$\sigma_{\alpha}(W, \cos \theta) = \sum_{l} D_{l}(W) P_{l}(\cos \theta)$$

→ still "easy"

→ typical for CLAS (Hall B @ JLab)

Available data (photoproduction)

example: $d\sigma$, Σ



Phenomenology: extraction of resonance parameters

Ideal case:

- 1 At given energy *E*, perform complete/over-complete measurements at all angles θ , i.e. 8 observables for pseudo-scalar photo-production $d\sigma$, single-pol Σ , *T*, *P*, double-pol *G*, *H*, *E*, *F*
- **2** Extract amplitudes $F_{\lambda_f \lambda_y \lambda_i}(E, \theta)$
- **3** Project out partial-wave amplitudes $f_{[LS]J}(E)$ from $F_{\lambda_f \lambda_y \lambda_i}(E, \theta)$
- 4 Extract resonance poles and residues from $f_{[LS]J}(E)$
 - speed-plot method (Höhler)
 - time-delay method (Wigner)
 - analytic continuation to complex *E* plane
 - dispersion relations
 - isobar and/or K-matrix equations
 - dynamical scattering equations
- × Electro-production: more observables, more multipoles

Dispersion relations

- **1** Build **Im** parts of amplitudes from *s*-channel resonance contributions with Breit-Wigner parameterization; include all ****, ***, ** resonances
- 2 Use fixed-*t* dispersion relations to find **Re** parts There are 18 amplitudes $B_i^{(+,-,0)}(s,t,Q^2)$ for $\gamma^*N \to N\pi$

- **3** Constraint: Fermi-Watson theorem
- × Example: $P_{33}(1232)$, $\mathcal{M} \in \{M_{1+}^{3/2}, E_{1+}^{3/2}, S_{1+}^{3/2}\}$ Integral equations for \mathcal{M} , particular + homogeneous solution particular sol: magnitude fixed by Born terms homogeneous sol: shapes fixed by DR, weight fitted to data

Advantage of dispersion relations

• Im of amplitudes determined mainly by resonance contributions



- **Re** parts of amplitudes can contain large non-resonant contributions fixed by DR
- × Example: Im parts of amplitudes in $P_{33}(1232)$ region can generate non-resonant multipoles $E_{0+}^{(0)}$, $E_{0+}^{(1/2)}$, $E_{0+}^{(3/2)}$, $S_{0+}^{(0)}$, $S_{0+}^{(1/2)}$, $S_{0+}^{(3/2)}$
 - Re parts fixed by DR
 - Im parts fixed by Fermi-Watson with phenomenological $\delta_{0+}^{(1/2)}$, $\delta_{0+}^{(3/2)}$

Unitary Isobar Models, Dynamical Models

All used in the past for $N \rightarrow \Delta$, uncertainties $\uparrow\uparrow$ beyond Δ



- effective *L*, adjustable parameters
- resonances in **BW** forms
- backgrounds are Born terms, ρ -, ω -exch
- total amplitudes unitarized
- attempt to incorporate all EP data into "super-global" fits
 - ⇒ Need XS, single-, and double-pol observables to stabilize fits

DMT — Dubna-Mainz-Taipei dynamical model

- Include πN FSI such that unitarity preserved
- $t_{\gamma\pi} = t_{\gamma\pi}^{\mathrm{B}} + t_{\gamma\pi}^{\mathrm{R}} = v_{\gamma\pi} g_0 t_{\pi\mathrm{N}}$
- $t_{\pi N}$ fitted to πN (SAID), $v_{\gamma \pi}$ fitted to $\gamma N \rightarrow \pi N$

SL — Sato-Lee





Example result: Q^2 -dependence of D_0



- For Q^2 large, $P_{11}(1440)$, $S_{11}(1535)$, $D_{13}(1520)$ become more dominant w.r.t. P_{33}
- Similar: slow Q^2 decrease of $D_{0,1,2}(\sigma_{\rm T} + \varepsilon \sigma_{\rm L})$

 \Leftarrow due to slow fall-off of $A_{1/2}$ of the P_{11} , S_{11} , D_{13}

Helicity amplitudes for $\gamma^* p \rightarrow P_{11}(1440)$



MAID 2007 "Super-global" fits, Drechsel++ EPJA 34 (2007) 69

$P_{11}(1440)$ as a 3q state



- All LF RQM: sign change of $A_{1/2}$, magnitude of $S_{1/2}$
- Solid evidence in favour of $P_{11}(1440)$ as first radial excitation of 3q ground state
- All fail to describe $A_{1/2}$ at low Q^2

$P_{11}(1440)$ as a q³g hybrid state



• Hybrid q³g picture **ruled out**



Ν

Helicity amplitudes for $\gamma^* p \rightarrow S_{11}(1535)$



- First measurement of $S_{1/2}$ in N π
- × Hard to extract $S_{1/2}$ in η electroproduction
- Slow fall-off of $A_{1/2}$ seen in η production confirmed by π data
- Results for $A_{1/2}$ from η and π production \approx agree with $\beta_{\pi N} = 0.45$, $\beta_{\eta N} = 0.52$ (PDG: $\beta_{\pi N} = 0.35 - 0.55$, $\beta_{\eta N} = 0.45 - 0.60$)



Much harder: $p(\vec{e}, e'\vec{p})\pi$

$$\frac{d\sigma}{dE'_{e} d\Omega_{e} d\Omega_{p}^{\star}} = \frac{\sigma_{0}}{2} \left[1 + \boldsymbol{P} \cdot \hat{\boldsymbol{s}}_{r} + h[A_{e} + \boldsymbol{P}' \cdot \hat{\boldsymbol{s}}_{r}] \right]$$

$$\frac{\sigma_{e} \cdot \hat{\boldsymbol{s}}_{r}}{q} + h[A_{e} + \boldsymbol{P}' \cdot \hat{\boldsymbol{s}}_{r}]$$

$$\frac{\sigma_{e} \cdot \hat{\boldsymbol{s}}_{r}}{q} + h[A_{e} + \boldsymbol{P}' \cdot \hat{\boldsymbol{s}}_{r}]$$

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$$\frac{\sigma_{e} \cdot \hat{\boldsymbol{s}}_{r}}{q} + h[A_{e} + \boldsymbol{P}' \cdot \hat{\boldsymbol{s}}_{r}]$$

$$\frac{\sigma_{e} \cdot \hat{\boldsymbol{s}}_{r}}{q} + h[A_{e} +$$

E91-011

- Angular distributions of recoil polarization components
- Billinear combinations of transition multipoles (magnitudes and phases)
- Different observables, different billinears
- $Q^2 = (1.0 \pm 0.2) (\text{GeV/c})^2$ $W = (1.23 \pm 0.02) \text{GeV}$
- large Q²
 ⇒ large out-of-plane acceptance
- 14 independent responses
 2 Rosenbluth combinations
 ⇒ multipole analysis up to *l* = 1
- $l \leq 1$ truncation too severe
- *M*₁₊ dominance picture inadequate
- 1– ("Roper") multipoles out of range





Kelly++ PRL **95** (2005) 102001, PRC **75** (2007) 025201



Kelly++ PRL **95** (2005) 102001

E91-011

$N \rightarrow \Delta(1232)$ vs. $N \rightarrow N^{\star}(1440)$

XS is not the way to go... rates in Roper at least $\sim 10 \times$ smaller



Amplification Through Interference



MAID07 vs. DMT01 (Roper "on"/"off")



- Different treatment of resonances in isobar models (e.g. MAID) vs. dynamical models (e.g. DMT) ... "dressed" vs. "bare" vertices
- nice distinctions in all components of \vec{P}

 $p(\vec{e},e'\vec{p})\pi^0$



- Tremendous sensitivity to Roper
- CLAS results on P_{11} , S_{11} , D_{13} great, but lagging behind the $\Delta(1232)$ sophistication
- (Too) few measurements of double-polarization observables

Outlook: EBAC @ JLab

Goal (2009): "Complete the combined analysis of available single pion, eta and kaon photoproduction data for nucleon resonances and incorporate analysis of two-pion final states into the coupled-channel analysis of resonances."



Wish list: this figure at W = 1440 instead of W = 1232 MeV



A1 @ MAMI



Hall A @ Jefferson Lab

