

# Light and heavy baryon masses: (2) The $1/N_c$ expansion method

**Fl. Stancu**

**University of Liège**

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**Collab: F.Buisseret (UMH), N. Matagne (Giessen), C. Semay (UMH)**

# Outline

- 1 **The  $1/N_c$  expansion method in baryon spectroscopy**
- 2 **Short Quark Model (QM) Reminder**
- 3 **Compatibility:  $1/N_c$  expansion and QM mass formula**

# The $1/N_c$ expansion method in baryon spectroscopy

- Large  $N_c$  QCD: 't Hooft and Witten
- The mass operator
- Light baryons: The  $1/N_c$  expansion and the wave function of excited states
- Heavy baryons: the combined  $1/N_c$  and  $1/m_Q$  expansion

## Baryonic mass formula in large $N_c$ QCD versus quark model

*C. Semay, F. Buisseret, N. Matagne and F.S. PRD75:096001(2007)*

## Mass formula for strange baryons in large $N_c$ QCD versus quark model

*C. Semay, F. Buisseret and F.S. PRD75:096001(2007)*

## Charm and bottom baryon masses in the combined $1/N_c$ and $1/m_Q$ expansion versus quark model

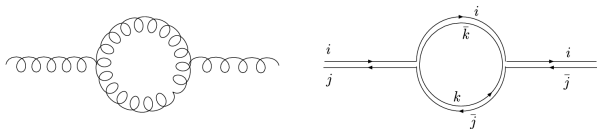
*C. Semay, F. Buisseret and F.S. arXiv:0808.3349*

't Hooft's idea: Large  $N_c$  QCD,  $N_c$  colours

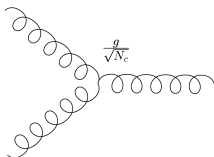
$N_c \rightarrow \infty$  colours: exact  $SU(2N_f)$  symmetry

Witten: Large but finite  $N_c$  in baryons:  $\rightarrow 1/N_c$  expansion method for mass, magnetic moments, axial current, decay widths, etc.

- systematic and predictive
- Model independent predictions
- Comparison with quark model: introduce the band number  $N$

**'t Hooft (1974) Double line notation****Gluon vacuum polarization:  $\mathcal{O}(1)$** 

Coupling constant  $g \rightarrow \frac{g}{\sqrt{N_c}}$  has a finite limit for large  $N_c$



a quark loop  $\mathcal{O}(N_c^{-1})$ , nonplanar diagrams  $\mathcal{O}(N_c^{-2})$

## Gervais, Sakita (1986) Dashen, Manohar (1993)

**$SU(2N_f)$  is an exact contracted symmetry for  $N_c \rightarrow \infty$**

**For finite  $N_c \rightarrow$  correction of order  $1/N_c$ .**

Example:  $N_f = 3$

$$SU(6) \supset SU(2) \times SU(3)$$

$SU(6)$  operators:

$$S^i = q^\dagger (S^i \times \mathbb{1}) q \quad (3, 1)$$

$$T^a = q^\dagger (\mathbb{1} \otimes T^a) q \quad (1, 8)$$

$$G^{ia} = q^\dagger (S^i \otimes T^a) q \quad (3, 8)$$

**For excited states  $O(3) \otimes SU(2N_f) \rightarrow$  also  $\ell_i$  needed**

**Large  $N_c$  operator analysis. Observables:**

$$\mathcal{O} = \sum_i c_i \mathcal{O}_i + \sum_i b_i \bar{B}_i$$

**An  $n$ -body operator acts on  $n$  quark lines**

$$\mathcal{O}_i = \frac{1}{N_c^{n-1}} \mathcal{O}_\ell^{(k)} \cdot \mathcal{O}_{S\mathcal{F}}^{(k)}$$

Generators of  $O(3)$  ( $\ell_i$ ) and of  $SU(6)$  ( $S_i, T_a, G_{ia}$ )

$c_i, b_i$  : reduced matrix elements which encode QCD dynamics, fitted from data

**N. B.** Matrix elements of  $\mathcal{O}_{S\mathcal{F}}$  can carry nontrivial  $N_c$  dependence,  $\bar{B}_i$  are  $SU(3)$ - flavour breaking

**Widths:** Generic large  $N_c$  counting rules  $\Gamma \sim \mathcal{O}(N_c^0)$



## Example: SU(4) algebra and nonstrange ground state mass

$$\begin{aligned}
 [S_i, T_a] &= 0, & [S_i, G_{ja}] &= i\varepsilon_{ijk}G_{ka}, & [T_a, G_{ib}] &= i\varepsilon_{abc}G_{ic}, \\
 [S_i, S_j] &= i\varepsilon_{ijk}S_k, & [T_a, T_b] &= i\varepsilon_{abc}T_c, \\
 [G_{ia}, G_{jb}] &= \frac{i}{4}\delta_{ij}\varepsilon_{abc}T_c + \frac{i}{4}\delta_{ab}\varepsilon_{ijk}S_k.
 \end{aligned} \tag{1}$$

### Operator identities, Dashen, Jenkins, Manohar, PRD51:3697

$$\{S_i, S_i\} + \{T_a, T_a\} + \{G_{ia}, G_{ia}\} = \frac{3}{2}N_c(N_c + 4)$$

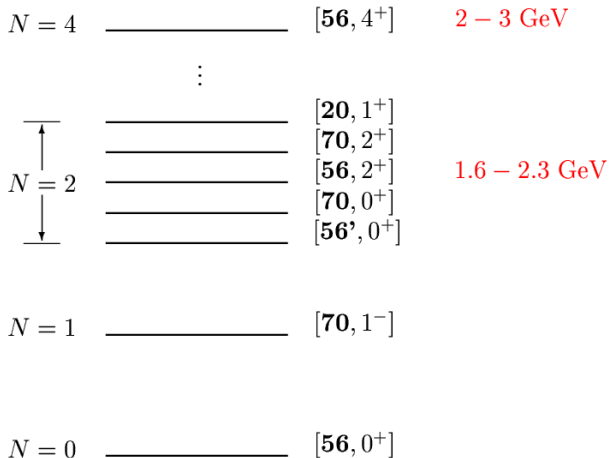
$$\{T_a, T_a\} = \{S_i, S_i\}$$

$$M = c_1 N_c + c_4 \frac{1}{N_c} S^2 + \mathcal{O}\left(\frac{1}{N_c^3}\right) \tag{2}$$

**Fit to  $N$  and  $\Delta$ :  $c_1 = 289 \text{ MeV}$ ,  $c_4 = 292 \text{ MeV}$**

The baryon spectrum  $N_c = 3$ 

## SU(6) multiplets



**Symmetric states  $[N_c] \rightarrow [56]$  for  $N_c = 3$  are easy**

**Mixed symmetric states  $[N_c - 1, 1] \rightarrow [70]$  for  $N_c = 3$  more complicated  $\rightarrow$  **New theoretical developments****

The  $[56, 4^+]$  baryon masses in the  $1/N_c$  expansion  
*N. Matagne and F.S. PRD71:014010(2005)*

$$M = \sum_{i=1}^3 c_i O_i + \sum_{i=1}^3 b_i \bar{B}_i + \mathcal{O}(1/N_c^2)$$

Operator	Fitted coef. (MeV)
$O_1 = N_c \mathbb{1}$	$c_1 = 736 \pm 30$
$O_2 = \frac{1}{N_c} l_i S_i$	$c_2 = 4 \pm 40$
$O_3 = \frac{1}{N_c} S_i S_i$	$c_3 = 135 \pm 90$
$\bar{B}_1 = -\mathcal{S}$	$b_1 = 110 \pm 67$
$\bar{B}_2 = \frac{1}{N_c} l_i G_{i8} - \frac{1}{2\sqrt{3}} O_2$	
$\bar{B}_3 = \frac{1}{N_c} S_i G_{i8} - \frac{1}{2\sqrt{3}} O_3$	

$$\chi_{\text{dof}}^2 = 0.26$$

$$\frac{\langle O_3 \rangle}{\langle O_2 \rangle} = \frac{\langle \bar{B}_3 \rangle}{\langle \bar{B}_2 \rangle} \text{ for all states}$$

## Standard approach for mixed symmetry spin-flavour states

Carlson, Carone, Goity, Lebed, *PRD59:114008(1994)*

### Truncated wave function

#### Decoupling: core and excited quark

$$S^i = s^i + S_c^i, \quad T^a = t^a + T_c^a, \quad G^{ia} = g^{ia} + G_c^{ia}$$

$${}^2_{10} \quad \begin{array}{|c|c|c|c|c|c|} \hline & & & & & \\ \hline \hline & & & & & \\ \hline \end{array} = c_{21}^{[6,1]} \begin{array}{|c|c|c|} \hline & & \\ \hline & & \times \\ \hline \end{array} \times \begin{array}{|c|c|c|c|} \hline & & & \times \\ \hline & & & \\ \hline \end{array},$$

$${}^4_8 \quad \begin{array}{|c|c|c|c|c|c|} \hline & & & & & \\ \hline \hline & & & & & \\ \hline \end{array} = c_{12}^{[6,1]} \begin{array}{|c|c|c|c|} \hline & & & \times \\ \hline & & & \\ \hline \end{array} \times \begin{array}{|c|c|c|} \hline & & \\ \hline & & \times \\ \hline \end{array},$$

$${}^2_8 \quad \left\{ \begin{array}{|c|c|c|c|c|c|} \hline & & & & & \\ \hline \hline & & & & & \\ \hline \end{array} = c_{11}^{[6,1]} \begin{array}{|c|c|c|} \hline & & \times \\ \hline & & \\ \hline \end{array} \times \begin{array}{|c|c|c|} \hline & & \times \\ \hline & & \\ \hline \end{array} \right. \\ + c_{22}^{[6,1]} \begin{array}{|c|c|c|} \hline & & \\ \hline & & \times \\ \hline \end{array} \times \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \times \\ \hline \end{array},$$

$${}^2_1 \quad \begin{array}{|c|c|c|c|c|c|} \hline & & & & & \\ \hline \hline & & & & & \\ \hline \end{array} = c_{13}^{[6,1]} \begin{array}{|c|c|c|} \hline & & \times \\ \hline & & \\ \hline \end{array} \times \begin{array}{|c|c|c|} \hline & & \\ \hline & & \times \\ \hline \end{array}.$$

Example of core+excited quark separation method  
 $[70, 1^-]$  multiplet, fit to 7 experimental masses

- Problems: a) Many more independent operators: 13 for SU(4)  
 b) The core always has  $S_c = I_c \rightarrow$  information on isospin lost

*N. Matagne, F.S. PRD77:054026*

Operator	Approximate wave function (MeV)	Exact wave function (MeV)
$O_1 = N_c \mathbb{1}$	$c_1 = 211 \pm 23$	$c_1 = 299 \pm 20$
$O_2 = \ell^i s^i$	$c_2 = 3 \pm 15$	$c_2 = 3 \pm 15$
$O_3 = \frac{1}{N_c} s^j S_c^j$	$c_3 = -1486 \pm 141$	$c_3 = -1096 \pm 125$
$O_4 = \frac{1}{N_c} S_c^i S_c^i$	$c_4 = 1182 \pm 74$	$c_4 = 1545 \pm 122$
$O_5 = \frac{1}{N_c} t^a T_c^a$	$c_5 = -1508 \pm 149$	$c_5 = 417 \pm 79$
$\chi_{\text{dof}}^2$	1.56	1.56

## The $N = 1$ band revisited *N.Matagne and Fl. Stancu, Nucl. Phys. A in print*

Operator	Fit 1 (MeV)	Fit 2 (MeV)	Fit 3 (Mev)
$O_1 = N_c \mathbb{1}$	$481 \pm 5$	$482 \pm 5$	$484 \pm 4$
$O_2 = \ell^i s^i$	$-31 \pm 26$	$-20 \pm 23$	$-12 \pm 20$
$O_3 = \frac{1}{N_c} S^i S^i$	$161 \pm 16$	$149 \pm 11$	$163 \pm 16$
$O_4 = \frac{1}{N_c} T^a T^a$	$169 \pm 36$	$170 \pm 36$	$141 \pm 27$
$O_5 = \frac{15}{N_c} \ell^{(2)ij} G^{ia} G^{ja}$	$-29 \pm 31$		$-34 \pm 30$
$O_6 = \frac{3}{N_c} \ell^i T^a G^{ia}$	$32 \pm 26$	$35 \pm 26$	
$O_7 = \frac{3}{N_c^2} S^i T^a G^{ia}$			
$\chi_{\text{dof}}^2$	0.43	0.68	0.94

Spin-spin and isospin-isospin contributions in the  $N = 1$  band

$$O_3 = \frac{1}{N_c} S_i S_i, \quad O_4 = \frac{1}{N_c} T_i T_i$$

	Part. contrib. (MeV)					
	$c_1 O_1$	$c_2 O_2$	$c_3 O_3$	$c_4 O_4$	$c_5 O_5$	$c_6 O_6$
${}^2N_{\frac{1}{2}}$	1444	10	40	42	0	-8
${}^4N_{\frac{1}{2}}$	1444	26	201	42	-31	-20
${}^2N_{\frac{3}{2}}$	1444	-5	40	42	0	4
${}^4N_{\frac{3}{2}}$	1444	10	201	42	25	-8
${}^4N_{\frac{5}{2}}$	1444	-16	201	42	-6	12
${}^2\Delta_{\frac{1}{2}}$	1444	-10	40	211	0	-40
${}^2\Delta_{\frac{3}{2}}$	1444	5	40	211	0	20



# Short Quark Model (QM) Reminder

## Light baryons

$$H = \sum_i^3 \sqrt{p_i^2 + m_i^2} + V_Y; \quad V_Y = a \sum_i^3 |\vec{x}_i - \vec{x}_T|$$

$m_i$  – quark mass;  $a$  – string tension;  $\vec{x}_T$  – Toricelli point

Good approximation for the Y-junction

$$V_Y \rightarrow V_0 = \frac{a}{2} \left[ \sum_i^3 |\vec{x}_i - \vec{R}| + \frac{1}{2} \sum_{i < j} |\vec{x}_i - \vec{x}_j| \right]$$

Replace  $H$  by

$$H_0 = \sum_i^3 \sqrt{p_i^2 + m_i^2} + V_0$$

$\vec{R}$  – position of the center of mass motion

## Approximate solution: auxiliary field technique (Yu.Simonov)

SR kinetic energy  $\rightarrow$  NR kinetic energy  
 linear confinement  $\rightarrow$  quadratic confinement (h. o.)  
 Minimization with respect to auxiliary fields

$$H_0|\psi_0\rangle = M_0|\psi_0\rangle$$

$$m_i = 0$$

$$\mu_0 \simeq \left[\frac{a}{3}Q(N+3)\right]^{1/2}, \quad Q = 1/2 + \sqrt{3}/4$$

$$M_0 = 6\mu_0$$

**N. B.** N is the same as in the SU(6) classification of baryons  
 Regge trajectories :  $M_0^2 = 12 a (N+3)$

## Corrections to confinement

Coulomb-type

$$\Delta M_{OGE} = -2\alpha_s \sum_{i < j} \left\langle \frac{1}{|\vec{x}_i - \vec{x}_j|} \right\rangle = -2\alpha_s \frac{aQ}{\sqrt{3}\mu_0}$$

Self-energy

$$\Delta M_{QSE} = -\frac{3fa}{2\pi\mu_0}, \quad f \in [3, 4]$$

Final mass formula

$$M_0^2 = 2\pi\sigma(N + 3) - \frac{4\pi\sigma\alpha_s}{\sqrt{3}} - \frac{12f\sigma}{2 + \sqrt{3}}$$

with scaling

$$12aQ = 2\pi\sigma$$

# Compatibility: $1/N_c$ expansion and QM mass formula

## The $1/N_c$ expansion versus quark model

The  $1/N_c$  expansion

$$M = c_1 N_c + \mathcal{O}(1/N_c)$$

The quark model formula

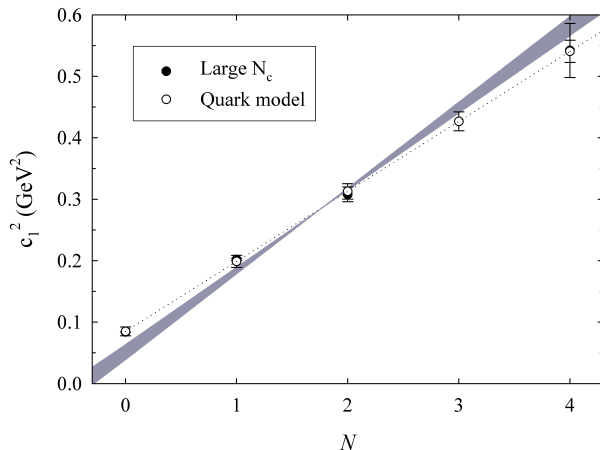
$$M_0^2 = 2\pi\sigma(N+3) - \frac{4\pi\sigma\alpha_s}{\sqrt{3}} - \frac{12f\sigma}{2+\sqrt{3}}$$

Take  $N_c = 3$  and compare  $(3c_1)^2$  with  $M_0^2$

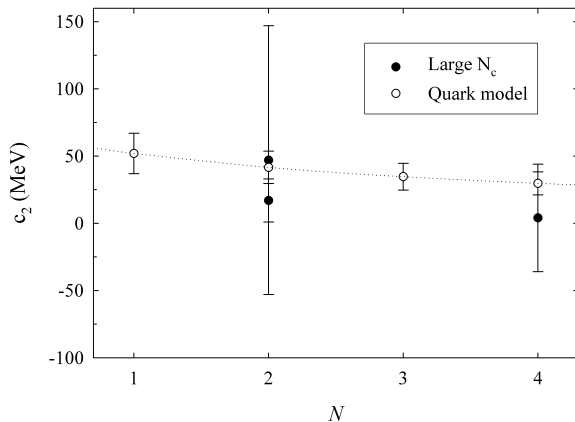
**Comparison with  $1/N_c$  expansion:  $c_1^2 = (M_0/N_c)^2$**

Fit:  $\sigma = 0.163 \pm 0.004$  MeV,  $\alpha_s = 0.40 \pm 0.05$ ,  $f = 3.50 \pm 0.12$

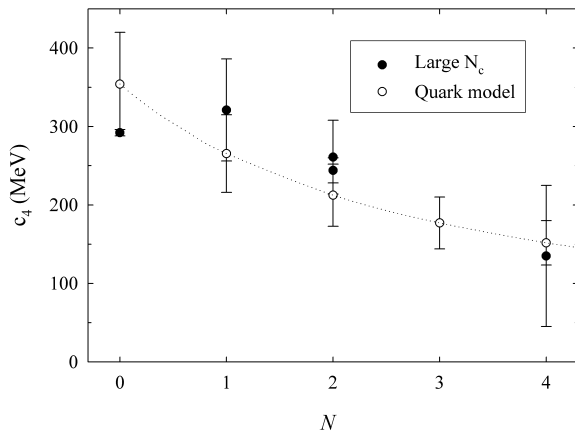
Shaded area:  $\sigma \in [0.17, 0.20]$



**Auxiliary fields method:  $c_2 \sim \mu_0^{-2} \rightarrow c_2 = c_2^0/(N + 3)$**   
**(SPIN-ORBIT)**  
 Fitted:  $c_2^0 = 208 \pm 60 \text{ MeV}$



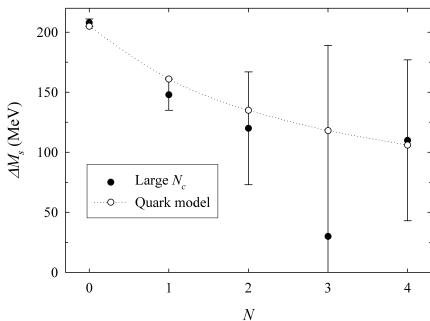
The coefficient  $c_4 \sim \mu_0^{-2} \rightarrow c_4 = c_4^0/(N+3)$  (SPIN-SPIN)  
 Fitted:  $c_4^0 = 1062 \pm 198$  MeV



The SU(3) breaking:  $m_s \neq m_{u,d}$

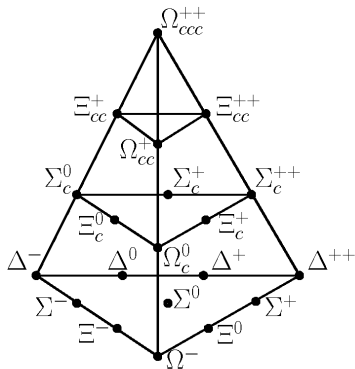
$$n_s \Delta M_s = \sum b_i \bar{B}_i$$

$N$  is a good classification number, Regge slope unchanged



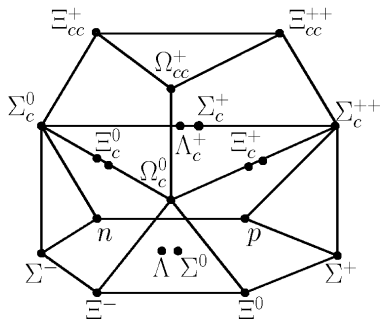


## HEAVY BARYONS: SU(4) Symmetric 20-dim, $J=3/2$



Undiscovered yet: double and triple charm

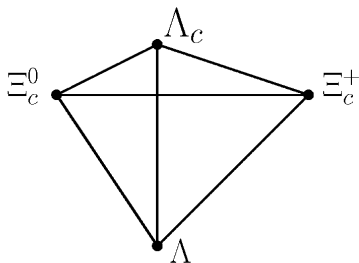
## HEAVY BARYONS: SU(4) Mixed Symmetric 20-dim, J=1/2



Seen at SELEX:  $\Xi_{cc(d)}^+$  (3520), No evidence BABAR, BELLE

Unobserved yet:  $\Xi_{cc(u)}^{++}$ ,  $\Omega_{cc(s)}^+$

## HEAVY BARYONS: SU(4) Antisymmetric 4-dim, $J=1/2$



## HEAVY BARYONS

Charm and bottom baryons in the combined  $1/N_c$  and  $1/m_Q$  expansion versus quark model

*C. Semay, F. Buisseret and F.S. hep-ph:0808.3349*

Extension of the  $1/N_c$  operator analysis in the heavy quark spin-flavor symmetry:  $SU(6) \times SU(2)_c \times SU(2)_b \rightarrow$  separate spin symmetry for each heavy flavor

**Exact SU(3): ground state mass formula to order  $1/m_Q^2$**   
*E. Jenkins, PRD54:4515(1996); ibid. PRD77:034012(2008)*

$N_Q$  - the number of heavy quarks

$$M^{(1)} = m_Q N_Q + \Lambda_{qq} + \lambda_Q + \lambda_{qqQ}$$

**Light pair:**

$$\Lambda_{qq} = c_0 N_c + \frac{c_2}{N_c} J_{qq}^2$$

**Heavy-quark corrections**

$$\lambda_Q = N_Q \frac{1}{2m_Q} \left( c'_0 + \frac{c'_2}{N_c^2} J_{qq}^2 \right)$$

**Heavy-quark spin-symmetry violation**

$$\lambda_{qqQ} = 2 \frac{c''_2}{N_c m_Q} \vec{J}_{qq} \cdot \vec{J}_Q$$

**Broken SU(3): dominant contribution  $N_c^0$** 

$$M = M^{(1)} + \epsilon \Lambda_\chi T^8,$$

$T^8$  - SU(3) generator

$\epsilon \Lambda_\chi$  = Measure of the SU(3)-flavor breaking factor

$$\Xi_Q - \Lambda_Q = \frac{\sqrt{3}}{2} (\epsilon \Lambda_\chi)$$

$\epsilon \Lambda_\chi = 0.206$  GeV, consistent with average for  $Q = c, b$

$\Lambda_\chi \sim 1$  GeV, chiral symmetry breaking scale parameter.

The coefficients are functions of  $1/N_c$  and a QCD scale  $\Lambda$

$$c_0 = \Lambda,$$

$$c_2 \sim \Lambda,$$

$$c'_0 \sim c'_2 \sim c''_2 \sim \Lambda^2.$$

The mass formula gives the mass combinations at dominant order

$$\Lambda_Q = m_Q + N_c \Lambda,$$

$$\frac{1}{3}(\Sigma_Q + 2\Sigma_Q^*) - \Lambda_Q = 2\frac{\Lambda}{N_c},$$

$$\Sigma_Q^* - \Sigma_Q = \frac{3}{2} \left( \frac{2\Lambda^2}{N_c m_Q} \right),$$

$$\frac{1}{3}(\Lambda_Q + 2\Xi_Q) - \frac{1}{4} \left[ \frac{5}{8}(2N + 3\Sigma + \Lambda + 2\Xi) - \frac{1}{10}(4\Delta + 3\Sigma^* + 2\Xi^* + \Omega) \right] = m_Q.$$



## Compatibility of the two approaches

### New parameters in QM

Fixed parameters	Fitted parameters
$k_1 = 0.930$	$m_c = 1.252 \text{ GeV}$
$\alpha_1 = 0.7\alpha_0$	$m_b = 4.612 \text{ GeV}$

$k_1, \alpha_1$  see previous studies  
 $m_c$  and  $m_b$  fitted to  $\Lambda_c$  and  $\Lambda_b$  exp masses

## Compatibility: Example: the dominant term

$$c_0 = \frac{1}{3} M_1|_{N=0} = \frac{4}{3}\mu_1 - \frac{2}{9}\sqrt{\frac{k_1 a}{3}}(\alpha_0 + 2\sqrt{2}\alpha_1) - \frac{fa}{3\pi\mu_1}$$

Parameter	$1/N_c$ expansion	Quark model
$\Lambda$	0.324 GeV	0.333 GeV
$m_c$	1.315 GeV	1.252 GeV
$m_b$	4.642 GeV	4.612 GeV
$\epsilon\Lambda_\chi$	0.206 GeV	0.170 GeV

## PREDICTIONS

Baryon	Theoretical mass	Experiment
$\Xi_c(nsc)$	2.433 GeV	2.469 GeV
$\Xi_b(nsb)$	5.767 GeV	5.793 GeV

## CONCLUSIONS

Large  $N_c$  approach supports quark model assumptions

- relativistic kinetic energy
- Y-junction for confinement
- N is a good classification number -- > **Regge trajectories**
  - **LIGHT BARYONS**
    - spin-spin or **isospin-isospin** is the dominant interaction
    - negligible spin-orbit
    - spin-dependent contributions vanish at high energy
  - **HEAVY BARYONS**
    - ground state: compatibility of parameters
- QM can give some dynamical info about  $c_i, b_i$