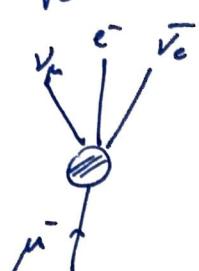
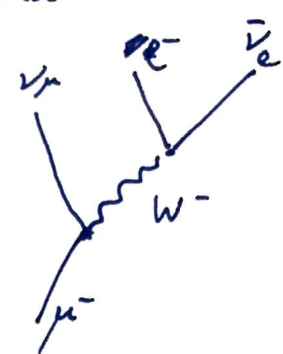


# 16. Gauge theories WITH SPONTANEOUS SYMMETRY BREAKING

- V-A theory suggests  $\bar{\psi}_L \gamma^\mu \psi_L$  via W exchange
- Muon decay
- 
- from W exchange
- 

- $[G_F = 10^{-5} \text{ GeV}^{-2} \Rightarrow M_W \sim 100 \text{ GeV}]$

- also  $q^2$  dependence up to  $\sim O(10) \text{ GeV} \sim \frac{1}{q^2}$

## 16.1. Maxwell EQUATIONS $\Rightarrow$ MASSIVE PROCA FIELDS

- gauge invariance forces the photon to be massless. How do we keep it and at the same time accommodate  $M_W \sim 100 \text{ GeV}$ ?

- The solution is spontaneous symmetry breaking

- Start with a massive Abelian  $U(1)$

$$\mathcal{L}_{U(1)} = -\frac{1}{4} FF + (D_\mu \phi)^\dagger (D_\mu \phi) - V(\phi)$$

- We work with scalars that can get a vev, fermions and vector bosons cannot (Lorentz inv.)

$$D_\mu \phi = \partial_\mu \phi - ie Q A_\mu \phi$$

- We can have the potential for  $\phi$  such that

$$V(\phi) = -\mu^2 |\phi|^2 + \lambda |\phi|^4 \quad \text{w/ } U(1) \text{ symm.}$$

but the  $\phi=0$  is unstable, thus the minimum

is at  $\langle \phi \rangle = v \neq 0$

$$\phi = \frac{1}{\sqrt{2}} (v + \underbrace{\chi}_{\text{reals scalar}} + i \underbrace{\eta}_{\text{Goldstone}})$$

- The kinetic term gives us

$$|D_\mu \phi|^2 \supset \frac{1}{2} (e Q v)^2 A_\mu A^\mu = \frac{1}{2} m_A^2 A_\mu A^\mu$$

$$m_A = e Q v$$

- The  $\eta$  field is a would-be-Goldstone that gets eaten up by  $A_\mu$  to become the third

degree of freedom. This is the longitudinal polarization supplied by the  $\eta$  on top of the ~~two~~ transverse polarization of  $A_\mu$ .

## 16.2. FROM ABELIAN $\Rightarrow$ NON-ABELIAN

$$SU(2) \sim SO(3)$$

↓  
adjoint rep. dim 3 ;  $\frac{n(n-1)}{2}$

$A_\mu^a$ ,  $a=1,2,3$  ; real scalar triplet  $\phi^a$

$$D_\mu \phi^a = \partial_\mu \phi^a + g \underbrace{f^{abc}}_{\epsilon^{abc}} A_\mu^b \phi^c$$

$$\langle \phi^a \rangle = (0, 0, v)$$

linear  $\sigma$  model

$$\phi^a = (\underbrace{\pi^1, \pi^2}_{\text{two Goldstones}}, \underbrace{v+h}_{\text{one "Higgs"}}$$

two Goldstones

$$\begin{aligned} \text{G.B. masses: } |D_\mu \phi|^2 &\supset \frac{g^2}{2} \epsilon^{abc} \epsilon^{ac3} A_\mu^b A^{\mu c} v^2 + \dots \\ &= \frac{g^2 v^2}{2} (A_\mu^1 A^{\mu 1} - A_\mu^3 A^{\mu 3}) \end{aligned}$$

$$\Rightarrow M_{A^1} = M_{A^2} = \frac{1}{\sqrt{2}} g v, \quad M_{A^3} = 0 \dots \text{remains massless}$$

- The reason  $A^3$  remains massless because the  $\hat{3}$  direction remains symmetric, a  $U(1)$  symmetry around the  $\hat{3}$  axis remains.  $W^\pm = \frac{1}{\sqrt{2}} (A_\mu^1 \pm i A_\mu^2)$
- This model would account for a massive  $W_\mu^\pm$  and a massless  $A_\mu$ . However, the charge  $Q$  has to be identified with the only diagonal operator  $I_3$  of  $SO(3)$ .
- Now, fermions have to be added. The smallest rep. is  $3$  of  $SO(3)$  e.g.  $I_3 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} \Rightarrow \begin{pmatrix} \boxed{\phantom{e^+}} \\ \nu \\ e^- \end{pmatrix} \rightarrow E^+$
- This is not phenomenologically viable, as  $E^+$  exists.

### 16.3. Mixed Abelian - non-Abelian = SM

$$\begin{array}{ccc}
 SU(2) \times U(1) & & \\
 \parallel & \swarrow & \text{hypercharge} \\
 A_\mu^a & & B_\mu
 \end{array}$$

- Here we can put the  $\nu$  and  $e$  into a simple doublet  $L_L = \begin{pmatrix} \nu_L \\ e_L^- \end{pmatrix}$  with  $I = \begin{pmatrix} 1/2 \\ -1/2 \end{pmatrix}$   $\frac{1}{2}$   $Q = T_{3L} + \frac{Y}{2}$

- It transforms as  $L_L \rightarrow U_2 U_1 L_L$   $-\frac{1}{2}$  needed for charges  
 $= e^{i\alpha\sigma^3/2} e^{-i\beta/2} \begin{pmatrix} \nu_L \\ e_L^- \end{pmatrix}$   $\begin{matrix} \oplus \\ \ominus \end{matrix}$

- Similarly, the "Higgs" field that breaks the symmetry spontaneously will be a doublet with  $Y=+1$   
 $\Psi = \begin{pmatrix} \psi^+ \\ \psi^0 \end{pmatrix} \rightarrow e^{i\alpha\sigma^3/2} e^{i\beta/2} \begin{pmatrix} \psi^+ \\ \psi^0 \end{pmatrix}$   $Q = \frac{1}{2} + \frac{1}{2} = 1$   
 $= -\frac{1}{2} + \frac{1}{2} = 0$

- Breaking is triggered from the potential

$$\begin{aligned}
 V(\varphi) &= -\mu^2 |\varphi|^2 + \lambda |\varphi|^4 \\
 \frac{dV}{d\varphi^*} &= -2\mu^2 \varphi + 4\lambda \varphi |\varphi|^2 = 0 \\
 & -P16-5-
 \end{aligned}$$



• This gives the vev  $|\varphi|^2 = \frac{\mu^2}{2\lambda}$

and thus  $\langle \varphi \rangle = \frac{\mu}{\sqrt{2}\sqrt{\lambda}} = \frac{v}{\sqrt{2}}$ ,  $v = \frac{\mu}{\sqrt{\lambda}}$

$\varphi = \begin{pmatrix} (\overline{\Psi}_1 + i\overline{\Psi}_2)/\sqrt{2} = \overline{\Psi}^+ \\ \frac{1}{\sqrt{2}}(v + h + i\Psi_3) \end{pmatrix}$  would-be-Goldstones  
 ↓  
 can be removed by an  $SU(2)$  rotation

• Gauge boson masses

• as before, the covariant derivatives contains

$$|D_\mu \varphi|^2 \ni \left| \left( g A_\mu^a \frac{\sigma^a}{2} + g' B_\mu \frac{Y}{2} \right) \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix} \right|^2$$

for  $a=1,2$  :  $\frac{1}{4} \frac{g^2 v^2}{2} (A_\mu^1{}^2 + A_\mu^2{}^1) = \left(\frac{gv}{2}\right)^2 W_\mu^+ W_\mu^-$

$$M_W = \frac{gv}{2}$$

• for  $a=3$  and  $B_\mu$  we have a  $2 \times 2$  matrix

$$(A_\mu^3 B_\mu) \left(\frac{v}{2}\right)^2 \begin{pmatrix} g^2 & -gg' \\ -gg' & g'^2 \end{pmatrix} \begin{pmatrix} A_\mu^3 \\ B_\mu \end{pmatrix}$$

$$\Rightarrow \det M_{AB}^2 = 0 \quad \text{tr } M^2 = (g^2 + g'^2) \left(\frac{v}{2}\right)^2$$

• Rotating to  $\begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix} = \begin{pmatrix} c_w & -s_w \\ s_w & c_w \end{pmatrix} \begin{pmatrix} A_\mu^3 \\ B_\mu \end{pmatrix}$

- The  $Z$  and  $A$  are physical, propagating states in the mass basis.

$$M_Z^2 = \left(\frac{v}{2}\right)^2 (g^2 + g'^2), \quad M_A = 0$$

↓  
the photon remains massless because  
the  $U(1)$  group remains unbroken

- The mixing angles are given by

$$c_w = \frac{g}{\sqrt{g^2 + g'^2}}, \quad s_w = \frac{g'}{\sqrt{g^2 + g'^2}}, \quad t_w = \frac{g'}{g}$$

- Couplings to leptons are now fixed due to their known quantum numbers  $\frac{G^3}{2} = I_3$  &  $\frac{Y}{2}$

• with  $Q = I_3 + \frac{Y}{2}$

$$\mathcal{L}_\ell \ni \bar{\psi} \not{D}_\mu \psi = \bar{\psi} \left( \not{\partial}_\mu - i \frac{g}{\sqrt{2}} (W_\mu^+ \gamma^\mu P_L + \text{h.c.}) \right)$$

$$- ig (c_w Z_\mu + s_w A_\mu) I_3 - ig' (-s_w Z_\mu + c_w A_\mu) \frac{Y}{2} \psi$$

↙

$$- ie A_\mu Q - i \frac{g}{c_w} Z_\mu Q_\pm, \quad e = g s_w = g' c_w$$

$$= \frac{g g'}{\sqrt{g^2 + g'^2}}$$

- The charges are given by

$$Q = I_3 + \frac{1}{2}Y, \quad Q_t = I_3 - S_w^2 Q$$

- While the  $I_3$  and  $Y$  follow from the reps.

$$L_L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$$

$I_3$	$Q$	$\frac{Y}{2}$
$\frac{1}{2}$	0	$-\frac{1}{2}$
$-\frac{1}{2}$	-1	$-\frac{1}{2}$
$\frac{1}{2}$	$\frac{2}{3}$	$+\frac{1}{6}$
$-\frac{1}{2}$	$-\frac{1}{3}$	$\frac{1}{6}$

$e_R$

$I_3$	$Q$	$\frac{Y}{2}$
0	-1	-1
0	$\frac{2}{3}$	$\frac{2}{3}$
0	$-\frac{1}{3}$	$-\frac{1}{3}$

$\mu_R$

$d_R$

$$Q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix}$$

these have

the same  $\frac{Y}{2}$ ; they

are in the same doublet

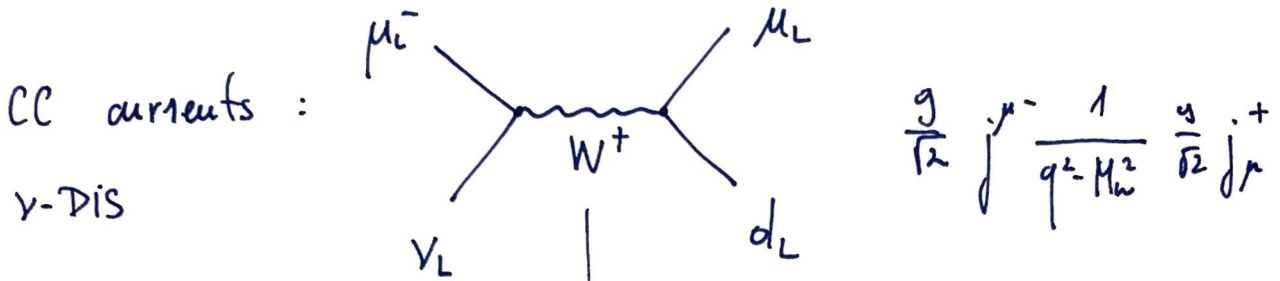
of  $SU(2)_c$

- This applies to the entire generation. The pattern gets repeated three times  $e, \mu, \tau$ ,  $u, c, t$ ,  $d, s, b$ .

- Note that the  $W$  couplings are purely chiral, the  $A_\mu$  couplings are vector-like (same for L & R), while  $Z_\mu$  (and the unphysical  $B_\mu$ ) have mixed chiralities, different charges for L & R.



# 16.4. Neutral current (NC) weak interaction



$$\frac{g}{\sqrt{2}} j^{\mu-} \frac{1}{q^2 - M_W^2} \frac{g}{\sqrt{2}} j^{\mu+}$$

propagator  $\frac{1}{q^2 - M_W^2}$   $\left\{ \begin{array}{l} \frac{1}{M_W^2} \text{ for } q^2 \ll M_W^2 \\ \frac{1}{q^2} \text{ for } q^2 \gg M_W^2 \end{array} \right.$

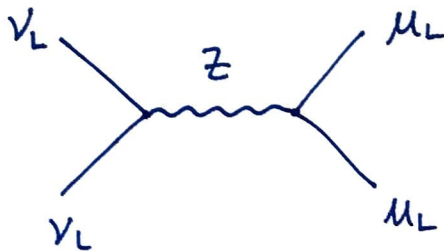
• at low  $q^2$  : ... contact operator - Fermi

high  $q^2$  : ... ~ massless mediator ~ photon

• comparing to the Fermi operator

$$\frac{4 G_F}{\sqrt{2}} = \frac{g^2}{2 M_W^2} \Rightarrow \frac{G_F}{\sqrt{2}} = \frac{g^2}{8 M_W^2} = \frac{1}{v^2}$$

• on top of the CC, we also have the NC events



• Mixed-chiral current-current interaction

$$\left( \frac{g}{\sqrt{2} c_w} \right)^2 \left( j_L^{\mu 3} - s_w^2 j_a^{\mu} \right) \frac{1}{q^2 - M_Z^2} \left( j_L^{\nu 3} - s_w^2 j_a^{\nu} \right)$$

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acts only on  $f_L$

• while 
$$j_a^\mu = \sum_f Q_f (\bar{f}_L \bar{\sigma}^\mu f_L + \bar{f}_R \bar{\sigma}^\mu f_R)$$

↑  
remains vector-like, non-chiral, with same  $Q_f$  for L & R.

• Together, the CC and NC (at low  $q^2$ ) give

$$\mathcal{M} = \left\langle \frac{4G_F}{\sqrt{2}} \left( \underbrace{j_L^\mu j_L^\nu}_{\text{symmetric under } SU(2), \text{ chiral}} + \left( j_R^\mu + \underbrace{-S_w^2 j_a^\mu}_{U(1) \text{ piece}} \right)^2 \right) \right\rangle$$

• Transcribing the DIS formulae into the above matrix element and integrating over the PDFs we get the  $V$ -DIS cross-sections.

$$\frac{d^2\sigma}{dx dy} \sim \frac{G_F^2 S}{\pi} \cdot x f, \quad \sum_{u,d,\dots} \uparrow \text{helicity flip for } \bar{u}_2$$

$$\frac{d^2\sigma}{dx dy} (v_p \rightarrow v_X) = \frac{G_F^2 S}{\pi} \left[ \begin{aligned} & \left( \frac{1}{2} - \frac{2}{3} S_w^2 \right)^2 \left( x f_u + (1-y)^2 x f_{\bar{u}} \right) \\ & + \left( -\frac{2}{3} S_w^2 \right)^2 \left( x f_u (1-y)^2 + x f_{\bar{u}} \right) \end{aligned} \right] \left. \begin{array}{l} \text{up} \\ \text{quarks} \\ \text{anti-} \\ \text{quarks} \end{array} \right\}$$

$$\left. \begin{array}{l} \text{down} \\ \text{(anti) quarks} \end{array} \right\} \left[ \begin{aligned} & + \left( -\frac{1}{2} + \frac{1}{3} S_w^2 \right)^2 \left( x f_d + x f_{\bar{d}} (1-y)^2 \right) \\ & + \left( \frac{1}{3} S_w^2 \right)^2 \left( x f_{\bar{d}} (1-y)^2 + x f_d \right) \end{aligned} \right]$$

- When the target consists of  $A$  nucleons there is an additional (non-coherent) enhancement

$$f_q = A (f_u + f_d)$$

$$\text{NC: } \frac{d^2\sigma_{nc}}{dx dy} (\nu A \rightarrow \nu X) = \frac{G_F^2 S}{\pi} \left( x f_q \left( \frac{1}{2} - s_w^2 \right) + \frac{5}{9} s_w^4 (1-y)^2 + \frac{1}{9} \right)$$

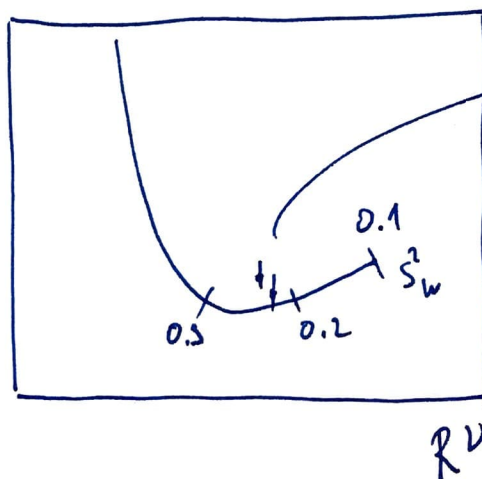
$$\text{CC: } \frac{d^2\sigma_{cc}}{dx dy} (\bar{\nu} A \rightarrow \mu^- X) = \frac{G_F^2 S}{\pi} \left( x f_q + x f_{\bar{q}} (1-y)^2 \right)$$

$$\Rightarrow r = \frac{\sigma_{cc}^{\bar{\nu}}}{\sigma_{cc}^{\nu}} = \int_{xy} \frac{x f_q (1-y)^2 + x f_{\bar{q}}}{x f_q + x f_{\bar{q}} (1-y)^2}$$

$$R^{\nu} = \frac{\sigma_{nc}^{\nu}}{\sigma_{cc}^{\nu}} = \frac{1}{2} - s_w^2 + \frac{5}{9} s_w^4 (1+r)$$

$$R^{\bar{\nu}} = \frac{\sigma_{nc}^{\bar{\nu}}}{\sigma_{cc}^{\bar{\nu}}} = \frac{1}{2} - s_w^2 + \frac{5}{9} s_w^4 \left( 1 + \frac{1}{r} \right)$$

EXPERIMENT  $R^{\bar{\nu}}$



$$s_w^2 \sim 0.23 \quad \left( \sim \frac{1}{4} \right)$$

for theorists ☺