

PARTON DISTRIBUTION FUNCTIONS

10. QUANTUM CHROMODYNAMICS (QCD)

PROTON = quarks, anti-quarks and gluons



each with its own pdf $f_{q,\bar{q},g}(x)$ PDF... parton distribution function

• There are dedicated libraries for fast access to these functions (LHAPDF, MSTW (Mathematical), ...)

• DIS model : $F_2(x) = \sum_f Q_f^2 \times (f_f(x) + f_{\bar{f}}(x))$

10.1. Measurements of parton distribution functions

from the model : $Q_u = \frac{2}{3}$, $Q_d = -\frac{1}{3}$

$$F_2(x) = \frac{4}{9} \times f_u + \frac{1}{9} \times f_d$$

• the rest come from virtual pair-creation $q\bar{q}$,
 yes, there are parts of s, b and t in the proton,
 also \bar{u} , \bar{d} and \bar{s} .

PDFs obey sum rules due to conservation of charge, isospin and strangeness

→

$$Q_p = 1 : \int_0^1 dx (f_u - f_{\bar{u}}) = 2 \Rightarrow \text{two } u\text{-quarks}$$

$$\sum_{\text{sea}} u + \bar{u} = 0$$

$$I_p = \frac{1}{2} : \int_0^1 dx (f_d - f_{\bar{d}}) = 1$$

$$S = 0 : \int_0^1 dx (f_s - f_{\bar{s}}) = 0 ; \text{ similarly for } b, c, t, \dots$$

• from DIS $e^-p \rightarrow e^-p + X$, one gets PDFs of the proton

• scattering on $\underline{\underline{D}}$ ^{deuterium} gives info on the neutron. Due to isospin $f_{u, \bar{u}, d, \bar{d}}^{(n)} = f_{d, \bar{d}, u, \bar{u}}^{(p)}$ ↖ unlabeled is for the proton, by default

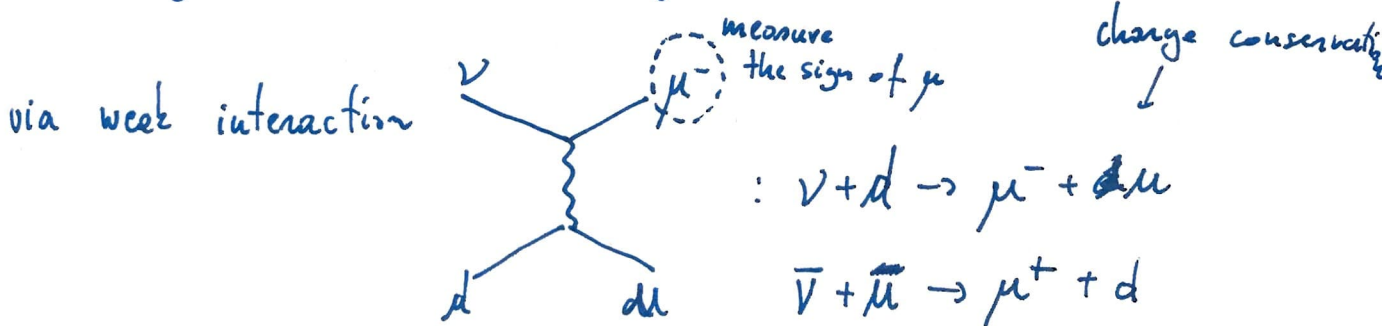
$$f_{q \neq u, d}^{(n)} = f_{q \neq u, d}$$

• similarly, $F_2^{(n)}(x) = \frac{4}{9} x f_u + \frac{1}{9} x f_d$

!!

• measuring $F_2, F_2^{(n)}$ gives separate info on $f_{u, d}$

• scattering on $\underline{\underline{V}}$'s also brings in new information



on anti-quarks : $\nu + \bar{u} \rightarrow \mu^- + \bar{d}$

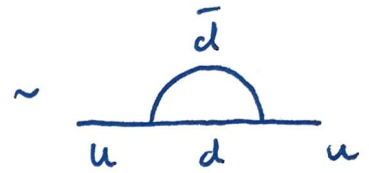
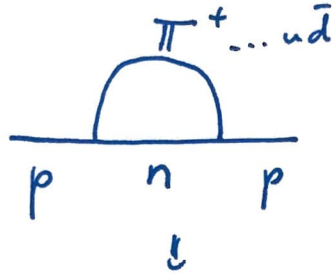
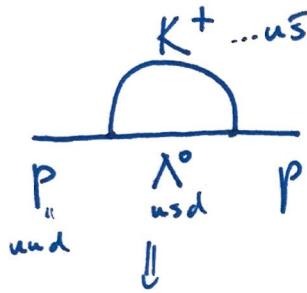
$\bar{\nu} + \bar{d} \rightarrow \mu^+ + u$

$\Rightarrow f_{u, d}$

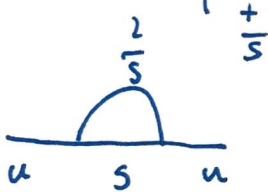
• similarly K^+ 's may be produced instead of d 's

$\Rightarrow f_{s, \bar{s}}(x) \dots$ strange PDFs

• virtual contributions add the s & \bar{s} differently in



adds s -quark



adds $d + \bar{d}$

\Downarrow

We expect more \bar{d} than \bar{u} in the proton

* fig w. pdfs

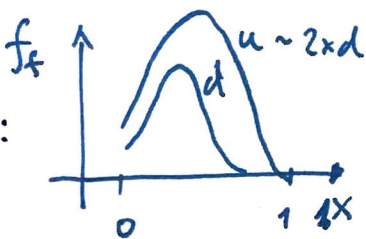
• high momentum proton : p carried by one u -quark

• sea pair that remains forms a $I=0$ (from $1/2 \times 1/2$) & $S=0$ state

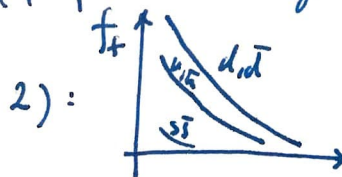
QUANTITATIVE PICTURE with

1) VALENCE PDFs (like 2u & 1d for the p)

2) SEA PDFs



($q\bar{q}$ pairs & gluons, virtual states)



\rightarrow large at small x values, diverges at $x=0$, renormalized in PDFs

- PDFs are fit to data at different momentum transfer, characterized by Q^2 & x .

- Different collaborations, NNPDF, PDF4HC, MSTW, CTEQ, ... use various approaches and data to provide global fits, accessible by the LHAPDF wrapper.

- Finally, moments have to sum up to the total proton momentum $P = x_i p_i$, therefore:

$$\int_0^1 dx \sum_i x f_i(x) = 1$$

- * note that divergencies of u & \bar{u} cancel for small x to have the charge well defined, the extra x in the integral makes

$$\frac{P_{q+\bar{q}}}{P} = \int_0^1 x \sum_i (f_i(x) + f_{\bar{i}}(x)) \approx 0.5 \text{ finite,}$$

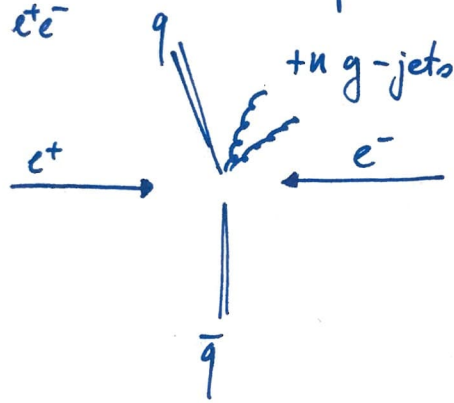
but less than 1. Additional partons are needed!

\Rightarrow GLUON massless spin 1 mediator

- P10-4 - of strong interactions via vector currents

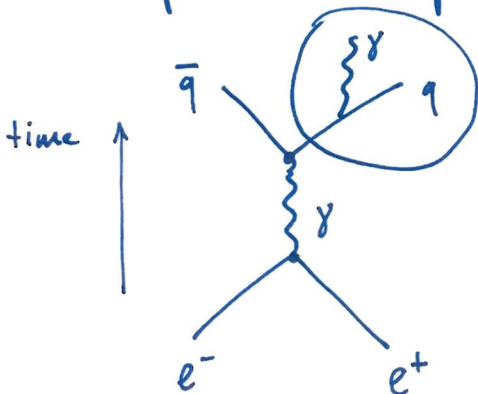
- The gluon should act similarly to a photon and if we have bremsstrahlung of $e^+e^- \rightarrow \mu^+\mu^- \gamma$, then gluons may be radiated away in $e^+e^- \rightarrow q\bar{q} + g$
 - $\underbrace{q\bar{q}}_{2 \text{ jets}}$
 - $\underbrace{q\bar{q} + g}_{3 \text{ jets}}$

- These events were in fact seen readily in the '70s by PETRA, SLD, TASSO experiments.



10.2. Photon emission in $e^+e^- \rightarrow q\bar{q}$

To warm-up for gluon emission, let's consider the simple QED process of photon emission by quarks.

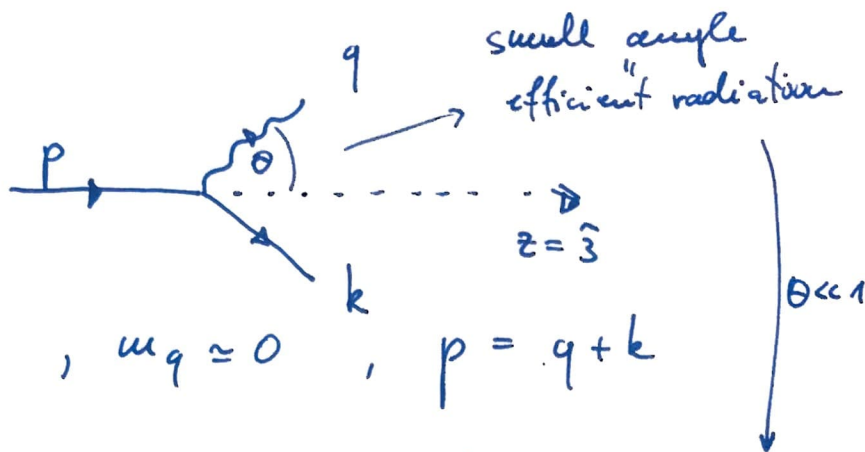


... easy for high momentum final state to radiate if the directions doesn't change much

!!
COLLINEAR SPLITTING

$$e^+e^- \rightarrow \bar{q}q\gamma$$

KINEMATICS



$$p \approx (E, 0, 0, E), \quad m_q \approx 0, \quad p = q + k$$

$$q = (zE, q_\perp, 0, zE - \frac{q_\perp^2}{2zE}), \quad q_\perp < E$$

$$k = ((1-z)E, -q_\perp, 0, (1-z)E - \frac{q_\perp^2}{2(1-z)E})$$

small transverse momentum transfer

- We are producing on-shell photons and quarks $\Rightarrow q^2 = k^2 = 0$.

\Rightarrow the original ($m_q=0$) quarks must be off-shell

$$\bullet \text{ with } p_\perp^2 = E - \frac{q_\perp^2}{2zE} + \frac{q_\perp^2}{2(1-z)E} = E - \frac{q_\perp^2}{2z(1-z)E}$$

$$\Rightarrow p^2 = E^2 - E^2 + \frac{q_\perp^2}{z(1-z)} = \frac{q_\perp^2}{z(1-z)} + \mathcal{O}\left(\left(\frac{q_\perp}{E}\right)^4\right)$$

- In the amplitude, the off-shell quark is $\sim \frac{1}{p^2} \sim \frac{z(1-z)}{q_\perp^2}$, thus the process is enhanced at small q_\perp .

$$\mathcal{M}(e^+e^- \rightarrow q\bar{q}\gamma) = \mathcal{M}(e^+e^- \rightarrow \bar{q}q) \frac{1}{p^2} \mathcal{M}(q \rightarrow q\gamma)$$

• Let us choose the initial $q_R : \langle f | j | i \rangle$

splitting: $\mathcal{M}(q_R \rightarrow \gamma q_R) = Q_{fe} \langle q_R(k) | j^\mu | q_R(p) \rangle \Sigma_\mu^*(q)$

$$j^\mu = \psi_R^\dagger \sigma^\mu \psi_R + \psi_L^\dagger \bar{\sigma}^\mu \psi_L$$

$$\mathcal{M}(q_R \rightarrow q_R \gamma) = Q_{fe} u_R^\dagger(k) \sigma^\mu u_R(p) \Sigma_\mu^*(q)$$

• We need the spinors $u_R^\dagger(k)$ & $u_R(p)$ with E & p_3

$$u_R(p) = \sqrt{2E} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad u_R(k) = \sqrt{2(1-z)E} \begin{pmatrix} 1 \\ -\frac{q_\perp}{2(1-z)E} \end{pmatrix}$$

• We can radiate: $\mathcal{E}_R = \frac{1}{\sqrt{2}} (0, 1, i, -\frac{q_\perp}{zE})$

$$\mathcal{E}_L = \frac{1}{\sqrt{2}} (0, 1, -i, -\frac{q_\perp}{zE})$$

rotated along q : $\underline{\mathcal{E}_x \cdot q} = 0$

• For LH γ :

$$\begin{aligned} u_R^\dagger(k) \sigma \cdot \mathcal{E}_L^* u_R(p) &= 2E \sqrt{1-z} \begin{pmatrix} 1 & -\frac{q_\perp}{2(1-z)E} \\ 0 & 0 \end{pmatrix} \\ &\times \frac{1}{\sqrt{2}} \left(\cancel{0} \cdot \sigma^0 + \sigma^1 + i\sigma^2 - \frac{q_\perp}{zE} \sigma^3 \right) \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \frac{2E \sqrt{1-z}}{\sqrt{2}} \left(-\frac{q_\perp}{2(1-z)E} + \frac{q_\perp}{2(1-z)E} - \frac{q_\perp}{zE} \right) \\ &= -\sqrt{2} q_\perp \frac{\sqrt{1-z}}{z} \end{aligned}$$

• For the right-handed photon, we have

$$\begin{aligned}
 \mu_R^+ \sqrt{\epsilon_R^*} \mu_k &= 2E \sqrt{1-z} \left(1 - \frac{q_{\perp}}{2(1-z)E}\right) \frac{1}{\sqrt{2}} \left(\overset{\text{they sum up to } q_{\perp}}{\cancel{(\sqrt{2}i\sqrt{z})^2} - \frac{q_{\perp}}{2E} \sqrt{z}} \right) \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\
 &= \frac{2E \sqrt{1-z}}{\sqrt{2}} \left(-\frac{2q_{\perp}}{2(1-z)E} - \frac{q_{\perp}}{2E} \right) \\
 &= -\sqrt{2} \sqrt{1-z} q_{\perp} \frac{z+1-z}{z(1-z)} = -\sqrt{2} q_{\perp} \frac{\sqrt{1-z}}{z(1-z)}.
 \end{aligned}$$

• Summing the two amplitudes together, we have

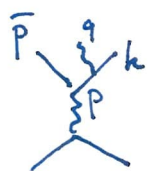
$$\sum_{4R} |\mathcal{M}(q \rightarrow q\gamma)|^2 = (Q_f e)^2 2q_{\perp}^2 (1-z) \left(\frac{1}{z^2} + \frac{1}{z^2(1-z)^2} \right)$$

$$\frac{(1-z)^2 + 1}{z^2(1-z)^2}$$

• OK, we have the strahlung $|\mathcal{M}|^2$, now we need the production part $e^+e^- \rightarrow q\bar{q}$ and integrate over the phase space:

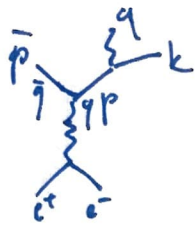
$$\sqrt{\epsilon_{e^+e^- \rightarrow q\bar{q}\gamma}} = \frac{1}{2E_A 2E_B 2} \int d\bar{\pi}_3 |\mathcal{M}(e^+e^- \rightarrow q\bar{q}\gamma)|^2$$

PHASE SPACE $\int d\bar{\pi}_3$


 $Q = k + q + \bar{p}$

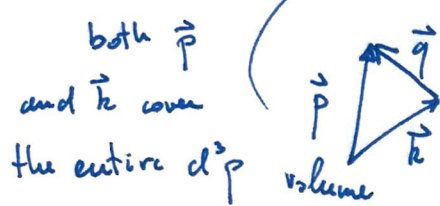
$$\int d\bar{\pi}_3 = \int \frac{d^3 \bar{p} d^3 k d^3 q}{(2\pi)^9 2\bar{p} 2k 2q} (2\pi)^4 \delta^{(4)}(Q - \bar{p} - q - k)$$

- We can approximate the phase space for small q_{\perp} , divided into longitudinal & perpendicular parts.



$$p = k + q \quad k \approx (1-z)p \quad \text{when } q_{\perp} = 0$$

$$d^3 p = d^3 k \quad q \approx zp$$



there :

$$d\pi_3 \approx \frac{d^3 \vec{p} d^3 p}{(2\pi)^6 2\vec{p} 2p} (2\pi)^4 \delta^{(4)}(2-p-\vec{p}) \times \frac{d^3 q}{(2\pi)^3 z(1-z)2p}$$

$\downarrow \quad \downarrow$
 $q = zp \quad k = (1-z)p$

the $d^3 q = \underbrace{dq_z}_{\text{longitudinal}} \underbrace{d^2 q_{\perp}}_{\text{perpendicular}} = pdz \pi dq_{\perp}^2$

\parallel πdq_{\perp}^2

\parallel pdz

$$\left(\frac{z(1-z)}{q_{\perp}^2} \right)^2$$

putting it all together, we have :


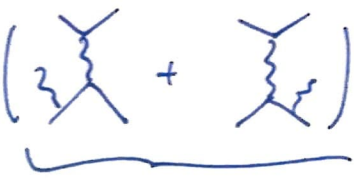
$$\sigma_{e^+e^- \rightarrow q\bar{q}\gamma} = \frac{1}{2E_A 2E_B 2} \int d\pi_2 |\mathcal{M}(e^+e^- \rightarrow q\bar{q})|^2 \int dz dq_{\perp}^2 \frac{\pi p}{(2\pi)^3 2z(1-z)p} \left(\frac{1}{p^2} \right)$$

$$\times |\mathcal{M}(q \rightarrow q\gamma)|^2$$

$$(2E_A)^2 2q_{\perp}^2 \frac{(1-z)^2 + 1}{z^2(1-z)}$$

$$= \sigma(e^+e^- \rightarrow q\bar{q}) \cdot \frac{Q_F^2 L}{\pi} \int dz \int \frac{dq_{\perp}}{q_{\perp}} \frac{1+(1-z)^2}{z}$$

We have the σ for production + emission of a $\gamma \approx q$.

• The total $e^+e^- \rightarrow q\bar{q}\gamma$:  + 

FSR
" Final State Radiation

ISR
" Initial ...

• Soft divergencies $\propto \frac{1}{q_{\perp}}$ & $\frac{1}{z}$.

collinear soft ($q \sim zp$)

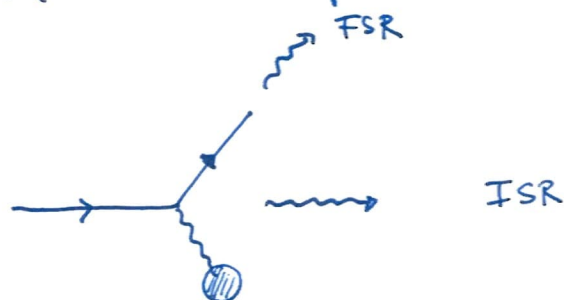
• These expressions (~~soft~~ & collinear approximation) hold generally as long as $E \gg m_i$

$$\begin{aligned} \sigma(A \rightarrow B + f + \gamma) &\sim \sigma(A \rightarrow B + f) \\ \sigma(A + f \rightarrow B + \gamma) &\sim \sigma(A + f \rightarrow B) \end{aligned} \times \left\{ \int dz dq_{\perp} \frac{1}{q_{\perp}} \frac{Q_f^2 \alpha}{\pi} \times \frac{1 + (1-z)^2}{z} \right.$$

Weizsäcker-Williams distribution

for electrons $f = e^-$ & $q_{\perp} \in (m_e, E_{cut})$, $z \in (\frac{m_e}{E_{cut}}, 1)$

we have $\sigma(A \rightarrow B + e^- + \gamma) \sim \sigma(A \rightarrow B + e^-) \frac{2\alpha}{\pi} \ln^2 \frac{E_{cut}}{m_e}$



10.3. Three-jet events

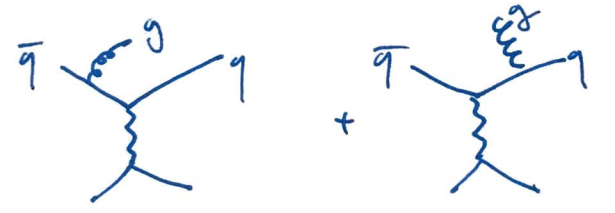
We can apply the same reasoning for the emission of gluons by assuming $m_g = 0$, $s_g = 1$, and the vector current interaction $\bar{\psi} \gamma^\mu \psi$, $e \rightarrow g_s$ & $d_s = \frac{g_s^2}{4\pi}$

Thus, the $q\bar{q}g \Rightarrow$ 3 jet events are given by

• the same formula

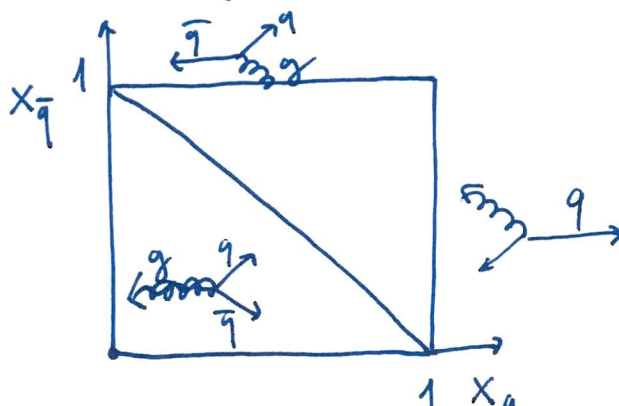
$$\sigma(e^+e^- \rightarrow q\bar{q}g) \sim \sigma(e^+e^- \rightarrow q\bar{q}) \times \frac{4}{3} \frac{d_s}{\pi} \int d\Omega \int \frac{dq_{\perp}^{1+(1-z)}}{q_{\perp} z}$$

This can be worked out ^{even outside the collinear approx.} by interfering the two

amplitudes  & approximating the 3 body phase space

CM frame, $E_{CM} = Q = E_q + E_{\bar{q}} + E_g$

& again $x_i = \frac{2E_i}{Q}$, $i = q, \bar{q}, g$, $\sum x_i = 2$



$$\Gamma_{e^+e^- \rightarrow \bar{q}q\gamma} = \Gamma_{e^+e^- \rightarrow \bar{q}q} \times \frac{2 ds}{3\pi} \int dx_q dx_{\bar{q}} \frac{(1-z)^2}{(1-x_q)(1-x_{\bar{q}})} \frac{x_q^2 + x_{\bar{q}}^2}{z}$$

• This agrees with the previous formula in the collinear limit, e.g. $x_{\bar{q}} \rightarrow 1$: $\leftarrow \bar{q} \xrightarrow{\gamma} q$ q & \bar{q} are collinear

$$x_q \sim z, \quad x_{\bar{q}} \sim 1-z, \quad x_{\bar{q}} = \frac{2E_{\bar{q}}}{Q} = \frac{2E_{\bar{q}}Q}{Q^2} = \frac{2\bar{p}Q}{Q^2}$$

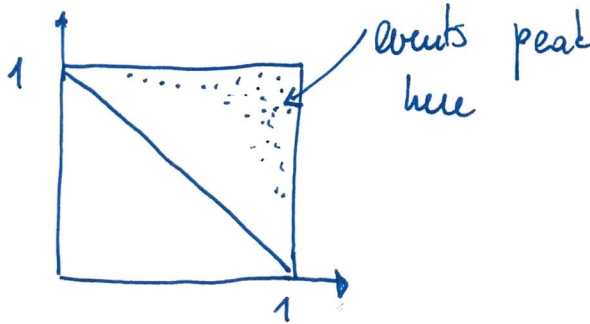
$$p^2 = (q+k)^2 = (Q-\bar{p})^2 = Q^2 - 2\bar{p}Q = Q^2(1-x_{\bar{q}}) = \frac{q_{\perp}^2}{x_{\bar{q}}}$$

then :

$$\frac{dx_{\bar{q}}}{1-x_{\bar{q}}} = \frac{dq_{\perp}^2}{q_{\perp}^2} = 2 \frac{q_{\perp} dq_{\perp}}{q_{\perp}^2} = 2 \frac{dq_{\perp}}{q_{\perp}}$$

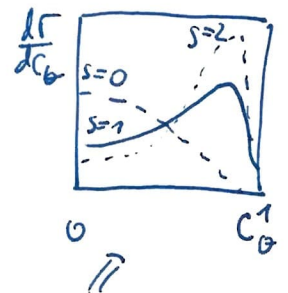
$$\frac{4d}{3\pi} \int dz \int \frac{dq_{\perp}}{q_{\perp}} \frac{1+(1-z)^2}{z}$$

as in the previous collinear case.



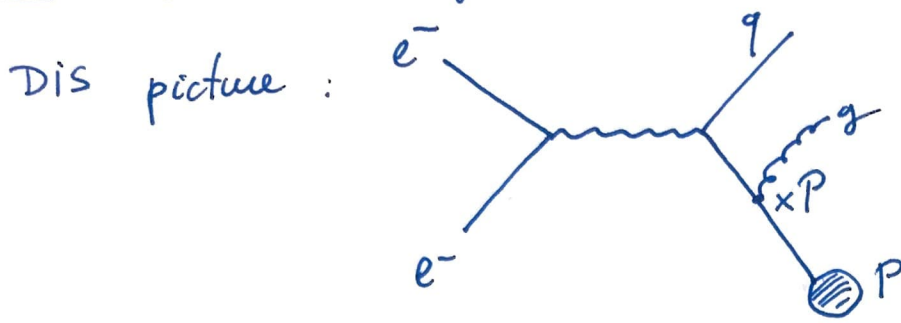
Experiments : TASSO, SLD confirm

- 2-jet events as $s = 1/2$ quanta
- 3-jet events peaking collinearly
 ↳ prediction for x_3 agrees.



- $\frac{d\sigma}{dC_0}$ that favors vector $s=1$ over scalar or tensor $s=0$ or $s=2$

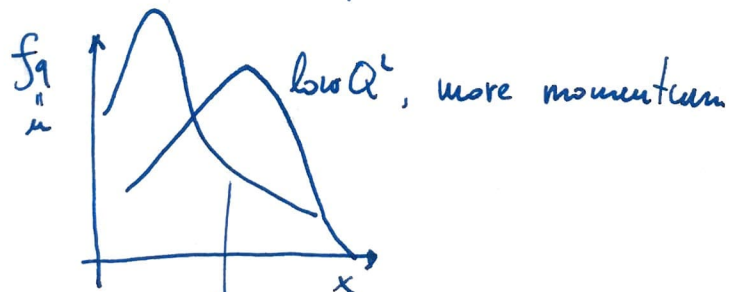
10.4. Effect of gluon emission on PDFs



The gluon can be ISR or FSR in both cases reducing the momentum of the quarks.

$$\propto \frac{4}{3} \frac{ds}{\pi} \int \frac{dq_{\perp}}{q_{\perp}} \sim \frac{4}{3} \frac{ds}{\pi} \ln \frac{Q}{m_p} \propto \ln Q.$$

• So the effect is that the momentum of partons is softened = lower x , in proportion to $\ln Q$



high Q^2 , shifts to lower x , less momentum

• another effect is the increase of $q\bar{q}$ PDFs coming from $g \rightarrow q\bar{q}$ pair creation (like $\gamma \rightarrow e^+e^-$)