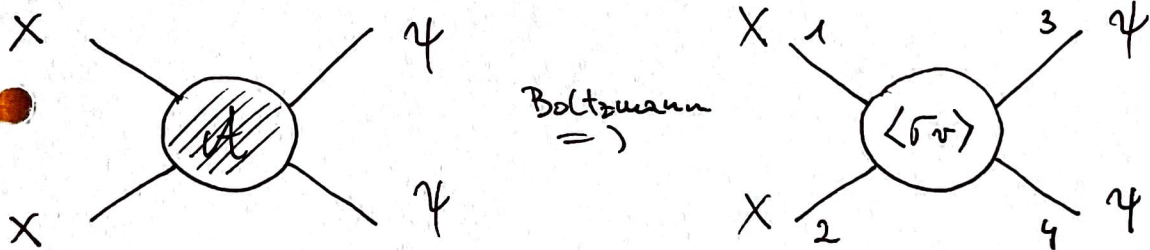


DARK MATTER PRODUCTION

- We will discuss three mechanisms for DM production
 - 1) Thermal freeze-out
 - 2) Freeze-in
 - 3) Relativistic decoupling & dilution

FREEZE-OUT OF WIMPS

- assume a stable X with $m_X \approx 100 \text{ GeV}$.
- at $T \approx 100 \text{ GeV}$, the SM is in equilibrium with $g_X \approx 100$
- assume X is weakly coupled to the SM w. Z_2



- Like for ν 's, the rate Γ will go down below H and n_X will freeze-out. Let us calculate:

i) T_{fo}

ii) $n_X(T_{fo}) \rightarrow \Omega_{DM,X}(\text{today})$

- Our aim is to estimate the decoupling temperature / freeze-out temperature and the remaining yield, or the DM relic abundance.

SIMPLIFIED BOLTZMANN EQUATION this term depletes X via $\chi\chi \rightarrow \psi\bar{\psi}$

$$a^{-3} \frac{d}{dt} (n_X a^3) = \langle \sigma v \rangle n_X^{(0)2} \left(\frac{n_\psi^2}{n_\psi^{(0)2}} - \frac{n_X^2}{n_X^{(0)2}} \right) = \langle \sigma v \rangle (n_X^{(0)2} - n_X^2)$$

this term creates X by $\psi\bar{\psi}$ annihilation

1 because ψ remains in eq. throughout the DM freeze-out

- In many analyses, one introduces the yield variable

$$Y = \frac{n_X}{T^3} \quad \text{or} \quad \frac{n_X}{S} \quad (\text{in case } g_* \text{ is changing during f.o.})$$

This is very useful because $n \propto a^{-3}$ and so is $S \propto a^{-3}$, or $\frac{d}{dt} (sa^3) = \text{const.}$

$$\Rightarrow a^{-3} \frac{d}{dt} (Y(aT)^3) = \cancel{a^{-3}} \cancel{a^3} T^3 \dot{Y} = \langle \sigma v \rangle (Y^{(0)2} T^6 - Y^2 T^6)$$

$$\frac{dY}{dt} = T^3 \langle \sigma v \rangle (Y^{(0)2} - Y^2)$$

- At early times, high T, we are in equilibrium and the above equation is simply: $Y = Y^{(0)}$ for $\Gamma \gg H$ & $T \gg m_X$.

- To keep track of t , or better T , it is useful to introduce a dimensionless $x = \frac{Mx}{T}$.

- What do we expect?

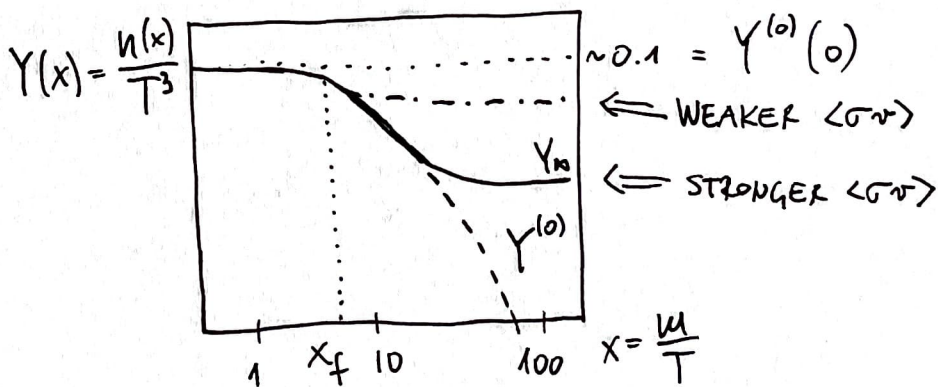
$x \ll 1 = \text{high } T \gg Mx$, early times, in equilibrium

$$Y(x \approx 0) \approx Y^{(0)} \cdot n_x^{(0)} T^{-3} = \frac{g}{2\pi^2} \int_0^\infty \frac{y^2 dy}{e^{\sqrt{x^2+y^2}} \pm 1} = Y^{(0)}(x)$$

The equilibrium yield $Y^{(0)}$ goes to the $m=0$ distribution

$$Y^{(0)}(x \ll 1) \approx \frac{g}{2\pi^2} \int_0^\infty \frac{y^2 dy}{e^y \pm 1} \quad \text{at early times.}$$

At late times, $Y^{(0)}(x \geq 1)$ becomes Boltzmann suppressed, because XX keep annihilating but the reverse is small.



- If Γ (or $\langle \sigma v \rangle$) is large, Y will follow $Y^{(0)}$ for longer and will decrease more \Rightarrow less DM.

- As soon as we hit $\langle \sigma v \rangle$ such that $\Gamma \sim H \Rightarrow$ FREEZE-OUT.
Stronger $\langle \sigma v \rangle \Rightarrow$ HIGHER x_f or lower T_f .

• For $m_x \approx 100 \text{ GeV}$, $T_{fo} = O(100 \text{ GeV}) \Rightarrow$ radiation dominated universe where $H^2 \propto T^4 \propto a^{-4}$

$$\ln x = \ln c + \ln a,$$

$$\frac{\dot{x}}{x} = \frac{\dot{a}}{a} = H \Rightarrow \dot{x} = Hx.$$

$$\frac{m}{x} \quad \text{or: } \boxed{x \propto a}$$


$$H \propto a^{-2} \propto x^{-2}$$

$$\Downarrow \\ H(x) = c \cdot x^{-2} \quad /: H(x=1)$$

This gives the direct connection between time (derivatives) and the temperature, such that:

$$\dot{Y} = Y' \dot{x} = Y' Hx = Y' \frac{H(x=1)}{x^2} x,$$

$$\frac{dY}{dt} = \frac{dY}{dx} \frac{dx}{dt}$$

where we used: $\frac{H(x)}{H(x=1)} = \frac{c x^{-2}}{c \cdot 1} = x^{-2}$, or 

$$\text{or: } H(T) = \frac{H(T=m_x)}{x^2} = \frac{H(m_x)}{x^2}$$

We have:

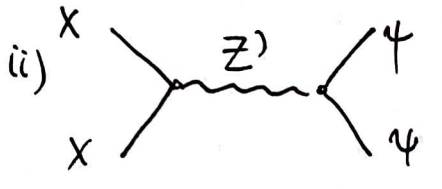
$$Y' \frac{H(m_x)}{x} = T^3 \langle \sigma v \rangle (Y^{(0)2} - Y^2) \\ = \left(\frac{m_x}{x}\right)^3 \langle \sigma v \rangle (Y^{(0)2} - Y^2), \quad \lambda = \frac{m_x^3 \langle \sigma v \rangle}{H(m_x)}$$

Then, finally: $\boxed{Y' = -\frac{\lambda}{x^2} (Y^2 - Y^{(0)2})}$

• We got to the simplified DM freeze-out equation, where λ characterizes the strength of annihilation: large λ means more annihilation and less DM remains.

• Let us calculate the freeze-out T_{fo} from $\Gamma = H$

i) $n_x^{(0)} = 2 \left(\frac{m_x T}{2\pi} \right)^{3/2} e^{-m_x/T}$ (we are at $x \gg 1$, so X is already NR, $g = 2$)

ii)  $\langle \sigma v \rangle \approx \frac{\alpha_2^2}{M_W^2} m_x^2$, $\mu_x \approx M_W$.

or: $\frac{\alpha_2^2}{m_x^2} \mu_x \gg M_W$

Plugging these into Γ , we get

$\Gamma = n_x^{(0)} \langle \sigma v \rangle = 2 \left(\frac{m_x T}{2\pi} \right)^{3/2} e^{-m_x/T} \frac{\alpha_2^2}{M_W^2}$
 $\approx 10^{-4} x^{-3/2} e^{-x} \frac{m_x^3}{M_W^2} \approx 10^{-4} x^{-3/2} e^{-x} \mu_x$

Compare this to the Hubble rate

$H \approx \sqrt{\frac{8\pi^2 g_x(\mu_x)}{90 M_{Pl}^2}} T^2 \approx 100 \frac{M_x^2}{M_{Pl}} x^{-2}$

Freeze-out: $\frac{\Gamma}{H} = 1 = 10^{-6} \frac{M_x M_{Pl}}{\mu_x^2} x^{-3/2+2} e^{-x}$

or: $e^x x^{-1/2} = 10^{-6} \frac{M_{Pl}}{m_x} \approx 10^{10}$,

$x_f \sim 24$. (20-30)

• We ended up with $T_{fo} = \frac{m_x}{x_f} \approx \frac{1}{20} \mu_x$, quite below m_x , which is self-consistent with $T < \mu_x$.

• Now that we have T_f , we can get the relic yield Y .

$$\textcircled{x=1} \quad \frac{dY}{dx} \sim Y \ll \lambda (Y^2 - Y_{eq}^2)$$

• We had: $\lambda = \frac{m_x^3 \langle \sigma v \rangle}{H(m_x)} \cong \frac{m_x^3 \left(\frac{d_2^2}{m_x^2} \right) M_{pl}}{10^2 m_x^2} \sim 10^{-4} \frac{M_{pl}}{m_x} \sim 10^{12}$

Thus $\lambda \gg 1$ and $Y = Y_{eq}$ when $x < 1$.

• Near $T \sim H$, Y starts to differ from $Y^{(0)}$, which is dropping exponentially. Neglecting $Y^{(0)2}$, we get

$$Y' = -\frac{\lambda}{x^2} Y^2 \quad \text{or} \quad \frac{dY}{Y^2} = -\lambda \frac{dx}{x^2} \Rightarrow Y^{-1} \Big|_{x_f}^{x_\infty} = -\lambda \frac{1}{x} \Big|_{x_f}^{x_\infty}$$

Thus the final freeze-out yield Y_∞ is:

$$\frac{1}{Y_\infty} - \frac{1}{Y_f} = -\frac{\lambda}{\infty} + \frac{\lambda}{x_f} \quad \text{and} \quad Y_f > Y_\infty$$

Our final estimate is:

$$\boxed{Y_\infty \approx \frac{x_f}{\lambda}} \quad \& \quad x_f \sim 20-30.$$

• This is a pretty good approximation. For further analytic improvement, see [1204.3622] by Steigman, Dasgupta & Beacom.

- The final step of our calculation is to obtain the DM relic abundance Ω_x .

$$\Omega_x = \frac{\rho_x}{\rho_{cr}} = \frac{m_x n_x}{\rho_{cr}} \quad \text{today}$$

- After freeze-out: $n_{xf} = Y_\infty T_1^3$, $T_1 \approx T_f$.

The interactions are turned off and $\frac{d(n_1 a^3)}{dt} = 0$, or

$$n_{xf} a_1^3 = n_x a_0^3 \Rightarrow n_x = Y_\infty T_1^3$$

today, $a_0 = 1$

From entropy conservation, we get $g_*(T_1) T_1^3 = g_*(T_0) T_0^3$

$$\Rightarrow n_x = Y_\infty T_0^3 \frac{g_*(T_0)}{g_*(T_1)} \approx \frac{1}{30} T_0^3 Y_\infty$$

CMB today

- Let us remember that $Y_\infty = \frac{X_f}{\chi} = \frac{X_f H(\mu_x)}{m_x^3 \langle \sigma v \rangle}$.

$$\text{and: } H^2(\mu_x) = \frac{8\pi G}{3} \rho_x(\mu_x) = \frac{8\pi G}{90} g_*(\mu_x) m_x^4$$

$$\text{Combining: } \Omega_x = \frac{m_x}{\rho_{cr}} \cdot Y_\infty \frac{g_*(T_0)}{g_*(T_1)} T_0^3 = \frac{m_x}{\rho_{cr}} \frac{X_f}{m_x^3 \langle \sigma v \rangle} \sqrt{\frac{4\pi^3 G}{45 g_*(\mu_x)}} \times$$

$$\Omega_x = \sqrt{\frac{4\pi^3 g_*}{45 M_{pl}^2}} \frac{X_f T_0^3}{30 \rho_{cr} \langle \sigma v \rangle} \quad m_x^2 \cdot T_0^3 \frac{1}{30}$$

$$\Omega_{DM} h^2 \approx 0.1 \left(\frac{X_f}{20} \right) \left(\frac{g_*}{100} \right)^{1/2} \frac{10^{-26} \frac{\text{cm}^3}{\text{s}}}{\langle \sigma v \rangle}$$

- After this, somewhat lengthy derivation, a summary and some comments are in order.

FREEZE-OUT SUMMARY

- 1) Assume a stable DM candidate X with $m_X \sim M_W$, which is weakly coupled and can annihilate to SM $XX \rightarrow \psi\psi$. This forces it to be in thermal equilibrium at high T , early t .

$$n_X = Y_X T^3, \quad Y_X \approx Y_X^{(0)} \quad \text{at} \quad \frac{m_X}{T} = x \ll 1.$$

- 2) These interactions become weaker in an expanding universe when $\Gamma \lesssim H$, which happens at $x_f \sim 20$.
- 3) At x_f , we have $\Gamma \gg Y_{eq}$ and we calculate $Y_{f0} \approx \frac{x_f}{\lambda}$, where $\lambda \ll \langle \sigma v \rangle$. Afterwards n_X scales as a^{-3} , does not interact and we get n_{today} from entropy conservation.

- 4) The final abundance $\Omega_X = \frac{m_X n_X(T_0)}{\rho_{cr}}$ is independent of m_X and scales as $\frac{x_f}{\lambda}$.

$$\Omega_{\cancel{m}_X} h^2 = 0.1 \left(\frac{x_f}{20} \right) \left(\frac{g_*}{100} \right)^{1/2} \frac{10^{-26} \frac{\text{cm}^3}{\text{s}}}{\langle \sigma v \rangle}$$

- The cross-section $\langle \sigma v \rangle$ is of typical electroweak size:

$$\langle \sigma v \rangle \approx 10^{-26} \frac{\text{cm}^3}{\text{s}} = 10^{-26} \cdot 0.3 \cdot 10^{-6} (10^{13} \text{fm})^2 = 3 \cdot 10^{-7} \text{fm}^2$$

$$= 3 \cdot 10^{-7} \cdot 4 \cdot 10^{-2} \text{GeV}^{-2} \sim 10^{-8} \text{GeV}^{-2}, \text{ which is}$$

$$\sigma_w \approx \frac{g_2^2}{M_w^2} \sim 10^{-4} \cdot (10^2 \text{GeV})^{-2} = 10^{-8} \text{GeV}^{-2}, \text{ hence the}$$

WIMP = weakly interacting massive particle.

- More on WIMPs:

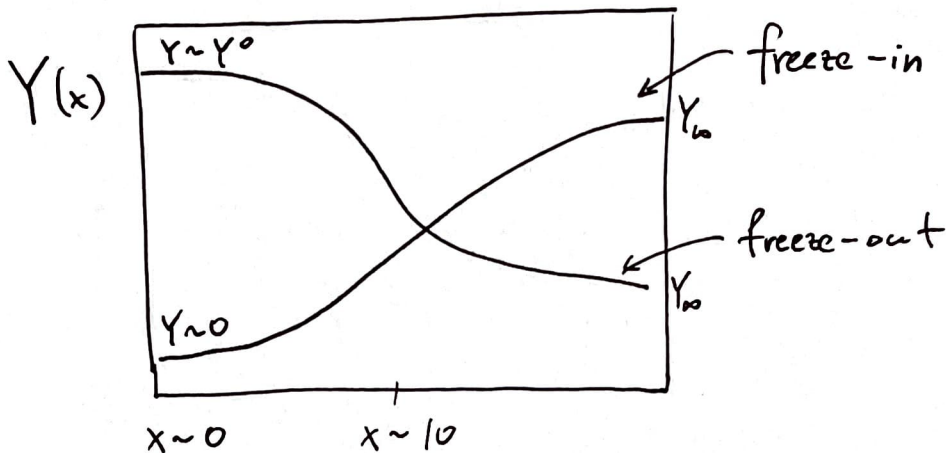
- detailed treatment of $\langle \sigma v \rangle$ in Gondolo & Gelmini '93,

- three exceptions in freeze-out (co-annihilations $XY \rightarrow \psi\psi$, mass thresholds and up-scatterings) in Griest, Seidel '91,

- - refined analytics for x_f and Y_∞ (very nice read) in

[1204.3622]

FREEZE-IN PRODUCTION OF DM

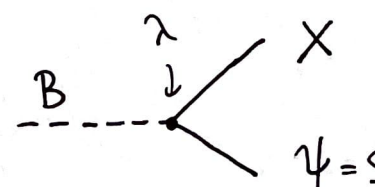


- In freeze-out scenarios we considered species that were in thermal equilibrium at early times / high T .
- FREEZE-IN are the opposite. We start with a very small yield of an out-of-equilibrium species and then slowly build up over time.

• $X = \text{DM}$, very weakly coupled, [0911.1120]

$\Psi = \text{SM}$, in thermal equilibrium,

$\mathcal{B} =$ a heavy particle in eq. (bath, hence \mathcal{B}).

$\mathcal{L} \supset \lambda \bar{X} \mathcal{B} \Psi,$  (toy model)

Decay rate $\Gamma_{\mathcal{B}} = \frac{\lambda^2}{8\pi} M_{\mathcal{B}}$.

- The simplest estimate we can do is:

$$Y_x = \frac{n_x}{s} \approx \underbrace{\gamma_B^{-1} \Gamma_B^0}_{\text{decay rate in the medium, when B is boosted}} t \approx \frac{m_B}{T} \Gamma_B^0 H^{-1},$$

decay rate in the medium, when B is boosted
 so $\langle E \rangle \sim T = \gamma m$

$$\tau \approx \gamma \tau^0, \text{ so: } \Gamma = \gamma^{-1} \Gamma^0$$

where we used $H = \frac{1}{2t}$ (we're neglecting $O(1)$ numbers)

$$Y_x \approx \frac{(m_B \lambda)^2 M_{pe}}{T^3}$$

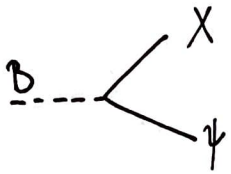
- This is enough to understand the principle. Freeze-in is dominated by low T (late times) and grows with λ (which has to be small enough though to justify the initial out-of-equilibrium), and shuts off at high T.

This is the opposite of $F=0$, where $\Omega \propto \frac{1}{\sqrt{r}}$, and one starts with a large $Y \sim Y^{(0)}$, which then drops exponentially until $\Gamma \approx H$.

- Let us look at a more rigorous derivation, using the $1 \rightarrow 2$ simplified Boltzmann eq.

FREEZE-IN SIMPLIFIED

- neglect scatterings, inverse decays and $F \rightarrow f$, all of these are ok because our Y is tiny, plus $n_\psi = n_\psi^{(0)}$



$$a^{-3} \frac{d}{dt} (n_X a^3) \equiv \int \frac{|\mathcal{M}|^2}{\pi_{X,B,\psi}} f_B$$

$$= 2 g_B \int \frac{\Gamma_B m_B}{\pi_B} f_B$$

- For simplicity, we drop the subscripts B and evaluate

$$a^{-3} \frac{d}{dt} (n_X a^3) = 2 g m \Gamma \int \frac{4\pi p^2}{(2\pi)^3} dp e^{-E/T}, \quad E^2 - p^2 = m^2$$

$$= \frac{g m \Gamma}{2\pi^2} \int_m^\infty \frac{E dE}{E} \sqrt{E^2 - m^2} e^{-E/T}, \quad p dp = E dE$$

$$= \frac{g m \Gamma}{2\pi^2} \int_{\frac{m}{T}}^\infty \sqrt{x^2 - \left(\frac{m}{T}\right)^2} e^{-x} dx \cdot T^2, \quad \frac{m}{T} = y,$$

$$= \frac{g m^3 \Gamma}{2\pi^2} \int_1^\infty \sqrt{y^2 - 1} e^{-xy} dy$$

$$= \frac{g m^3 \Gamma}{2\pi^2} \frac{K_1(x)}{x} = \frac{g m^2 \Gamma}{2\pi^2} T K_1\left(\frac{m}{T}\right).$$

- To solve for the abundance, we introduce the usual yield $Y = \frac{n}{s}$ and work in radiation domination

• So, we have: $Y_x = \frac{n_x}{s}$, $\underbrace{\frac{d}{dt}(sa^3)} = 0$, $\underbrace{H \propto \frac{1}{t} \propto T^2}$.
 entropy conservation radiation domination
 $H = \frac{1}{2t}$

$$a^{-3} \frac{d(n_x a^3)}{dt} = a^{-3} s a^3 \frac{dY_x}{dt} = s \frac{dY_x}{dT} \frac{dT}{dt}, \quad 2 \ln T = - \ln t$$

Boltzmann becomes

$$-s \frac{dY_x}{dT} H \cancel{\lambda} = \frac{g m^2 \Gamma}{2\pi^2} \cancel{\lambda} K_1\left(\frac{m}{T}\right)$$

$$2 \frac{dT}{T} = - \frac{dt}{t}$$

$$\frac{dT}{dt} = -HT$$

$$\int_0^{Y_x} dY_x = - \frac{g m^2 \Gamma}{2\pi^2} \int_{T_{\max}}^{T_{\min}} \frac{K_1\left(\frac{m}{T}\right)}{s H} dT;$$

$$= \frac{45 g \Gamma M_{\text{Pl}}}{1.784 \pi^4 m^2 g_*^{3/2}} \int_{x_{\min} \equiv 0 (T \rightarrow \infty)}^{x_{\max} = \infty} K_1(x) x^3 dx$$

$$H = 1.8 \sqrt{g_*} \frac{T^2}{M_{\text{Pl}}},$$

$$s = \frac{2\pi^2}{45} g_* T^3, \quad x = \frac{m}{T}$$

$$dx = -\frac{m}{T^2} dT$$

$$Y_x = \frac{135}{1.784 \pi^4} \frac{g_B}{g_*^{3/2}} \left(\frac{M_{\text{Pl}} \Gamma_B}{m_B^2} \right)$$

as initially $Y_x \propto \Gamma_B$ expected

• Rescaling the Y to today, we get the relic abundance

$$\Omega_x = \frac{\rho_x}{\rho_{\text{cr}}} = \frac{m_x n_x}{\rho_{\text{cr}}} = \frac{m_x Y_x s_0}{\rho_{\text{cr}}} = \frac{10^{27}}{g_*^{3/2}} \left(\frac{m_x \Gamma_B}{m_B^2} \right)$$

• To get $\Omega_x = \Omega_{\text{DM}} \sim 1/4$, we need

$$\lambda = 10^{-13} \sqrt{\frac{m_B}{m_x}} \left(\frac{g_x(m_B)}{100} \right)^{3/4} \left(\frac{g_{\text{Boltz}}}{10^2} \right)^{-1/2}$$

• Thermal freeze-in of sterile neutrinos

Neutrino mass \longleftrightarrow Dark matter

$L = \begin{pmatrix} \nu_L \\ l_L \end{pmatrix}$, ν_s ... sterile neutrino with Majorana mass

ϕ ... SM Higgs doublet $\langle \phi \rangle = \begin{pmatrix} 0 \\ v \end{pmatrix}$

constant $v \approx 246$
GeV

$$L = L_{SM} + \underbrace{y_D \bar{L} \phi \nu_s}_{\text{Dirac mass}} + \underbrace{M_s \nu_s^T C \nu_s}_{\text{Majorana mass}}$$

$$L_{mass} \sim \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_s^c \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D & M_s \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_s^c \end{pmatrix} \Rightarrow$$

SEESAW

$$m_s \sim M_s$$

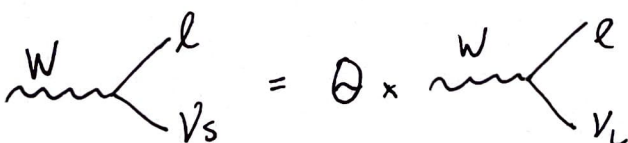
$$m_\nu \sim -m_D^T M_s^{-1} m_D$$

- ν_s are very weakly coupled and can be heavier than the SM neutrinos ν_L . Because of the off-diagonal term, the mass states are rotated

$$\nu_L^{(m)} \sim \nu_L + \Theta \nu_s, \quad \nu_s^{(m)} \sim \nu_s - \Theta \nu_L$$

where Θ is small $\Theta = \frac{m_D}{M_s} \approx \left(\frac{m_D^2}{M_s^2} \right)^{1/2} \approx \sqrt{\frac{m_\nu}{M_s}}$

- For $m_\nu \sim 10^{-4}$ keV and $M_s \sim$ keV $\Rightarrow \Theta^2 \approx 10^{-4}$, small.

• This means 

$$\Gamma_s = \langle \sigma v \rangle n_s \underset{g_{FT}^2 \theta^2 \ll T^3}{\approx} G_{FT}^2 \theta^2 T^3 - \theta^2 G_{FT}^2 T^5$$

- To get an estimate for the production, we translate the general toy model into this case



- $m_B = M_W, m_X = m_{\nu_s}, \Gamma_B = \Gamma_{W \rightarrow \nu_s l} = \frac{\alpha_W}{4} \theta^2 M_W$

$$g_*(m_B) = g_*(M_W) \approx 100$$

$$\Omega_{\nu_s} h^2 \approx 0.1 \left(\frac{m_{\nu_s}}{\text{keV}} \right) \left(\frac{\theta}{10^{-7}} \right)^2$$

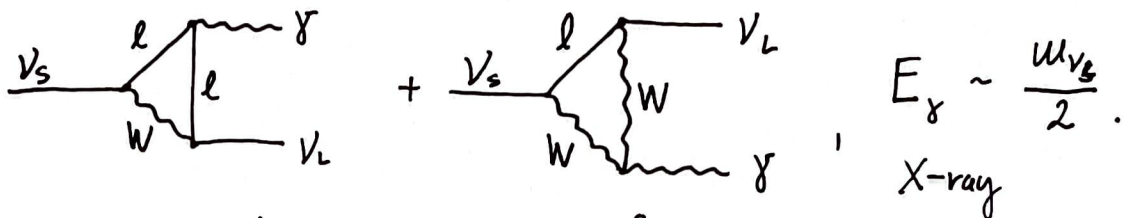
- This is a very naive picture, to properly study sterile ν DM, one has to take into account oscillations and T-dependent potentials, resonance effects.

$$\theta_0 \xrightarrow{\tau \text{ vacuum mixing}} \theta(T) \approx \frac{\theta_0}{1 + \left(\frac{T}{T_0}\right)^6}, \quad T_0 \sim 100 \text{ MeV}$$

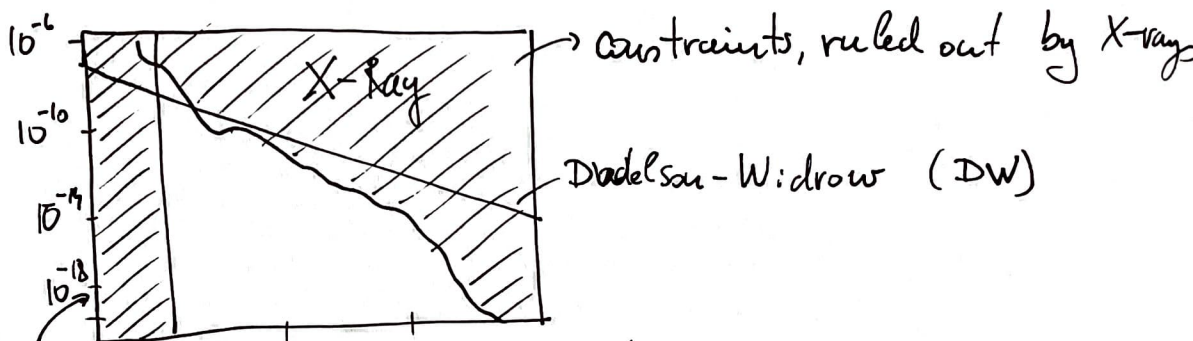
$$\Omega_{\nu_s} h^2 = 0.1 \left(\frac{\theta^2}{3 \cdot 10^{-9}} \right) \left(\frac{m_{\nu_s}}{3 \text{ keV}} \right)^{1.8}$$

↳ This is the Dodelson-Widrow mechanism.

- The ν_s scenario is strongly constrained by indirect searches for X-rays. At loop level, ν_s is destabilized



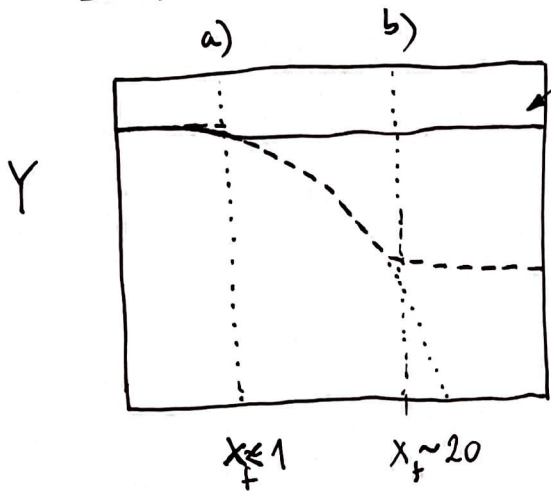
with: $\tau_{\nu_s \rightarrow \nu_\gamma} = \frac{1}{\Gamma_{\nu_s \rightarrow \nu_\gamma}} = 10^{30} \text{ s} \left(\frac{10^{-8}}{\Theta^2} \right) \left(\frac{\text{keV}}{M_{\nu_s}} \right)^5$



ruled out by DWSph (FD stat.)

- There are caveats that can save the DW picture, either by a primordial lepton number asymmetry or via additional hidden interactions of ν_s .

THERMAL OVERPRODUCTION & ENTROPY DILUTION



a) RELATIVISTIC FREEZE-OUT
(like ν in the SM, $T_f \gg M_\nu$)
happens when $x \ll 1$, as opposed
b) to the WIMP, which freezes out at
 $x_f \sim 20$, so $T_f \sim \frac{M_f}{20}$.

Because Y is higher, it may lead to overproduction.

For an explicit example consider that ν_s have some weaker interaction $SU(2)_R$; ν_R (LEFT-RIGHT MODEL)

SM:

$$G_F^2 T^5 = \sqrt{g_*} \frac{T^4}{M_{pe}}$$

$\Rightarrow T_Y \cong 1 \text{ MeV},$

LRSM:

$$G_F^2 T^5 \left(\frac{M_W}{M_{WR}} \right)^4 = \sqrt{g_*} \frac{T^4}{M_{pe}}$$

WEAKER, $M_{WR} \geq (5-6) \text{ TeV}$

$$T_f \sim 400 \text{ MeV} \left(\frac{M_{WR}}{5 \text{ TeV}} \right)^{4/3}$$

• This is very generic: weaker interactions decouple earlier.

• Repeating the same arguments as for ν_L , and taking

$M_{WR} \geq \text{keV}$ from stellar constraints, we get

$$Y_{\nu_R} \Big|_{T_f} = \frac{N_{\nu_R}}{S}, \quad N_{\nu_R} = 2 \cdot \frac{3}{2\pi^2} \zeta(3) T_f^3, \quad S = \frac{2\pi^4}{45} g_{*s}(T_f) T_f^3$$

• We then rescale to today $n_{\nu_i} = Y_{\nu_i}(T_f) s_0$,

$$\text{where } s_0 = s(\text{today}) = \frac{2\pi^2}{45} \left(2 + 2 \cdot 3 \cdot \frac{7}{8} \cdot \frac{14}{11} \right) T_Y^3 = \frac{2\pi^2 \cdot 43}{45 \cdot 11} T_Y^3 \\ \approx 3000 \text{ cm}^{-3}$$

• The final relic energy density is OVER-ABUNDANT:

$$\Omega_{\nu_i} = 3.3 \left(\frac{m_{\nu_i}}{\text{keV}} \right) \left(\frac{70}{g_*(T_f)} \right) \approx 12 \cdot \Omega_{\text{DM}}$$

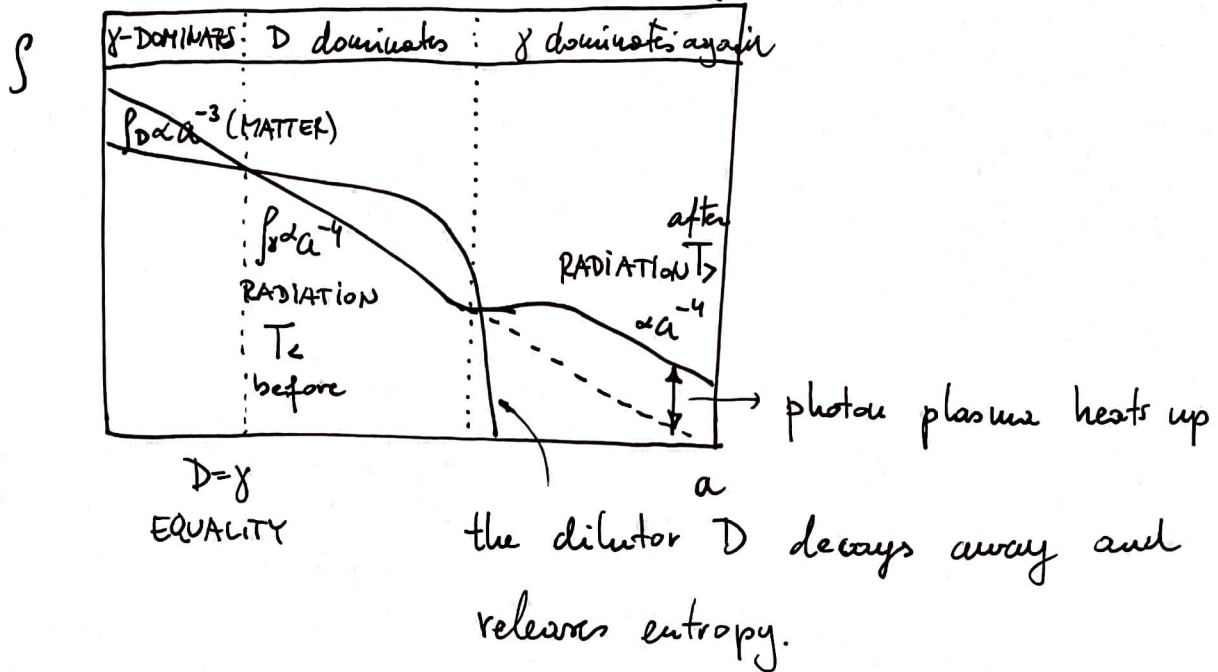
ENTROPY DILUTION via LATE DECAYS

[book by Kolb & Turner, paper by Scherrer, Turner '85]

- The issue of overproduction (DM, monopoles, other relics) can be addressed and resolved by a process which is non-adiabatic. This means $sa^3 \neq \text{const.}$ and some entropy gets produced. Physically this happens by a massive particle D that dominates ρ and decays into radiation.

This happens after DM has frozen-out and if D decays into the SM (e^\pm, q, γ, \dots) and not into DM, this effectively dilutes the n_x . Let's calculate the amount of entropy dilution in this fascinating case.

@ time of decay τ_D



BEFORE DECAY, we have matter dominance by D

$$H^2 = \frac{8\pi G}{3} \rho = \frac{8\pi G}{3} m_D Y_D S = \frac{16\pi^3}{135 M_{Pl}^2} m_D Y_D g_* T^3$$

$$= \Gamma_D^2 \leftarrow \Gamma_D \text{ is the } \tau_D^{-1} \text{ of the dilutor D.}$$

$H = \tau_D^{-1}$ is the assumption / approximation of instantaneous decay at the $t = \tau_D$.

photon T before decay : $T < = \left(\frac{\Gamma_D^2 M_{Pl}^2}{Y_D m_D g_*} \right)^{1/3}$

AFTER DECAY all the energy goes into radiation

$$\Gamma_D = H, = 1.7 g_*^{1/2} \frac{T >^2}{M_{Pl}} \text{ or : } T > = \sqrt{\frac{\Gamma_D M_{Pl}}{g_*^{1/2}}}$$

- We thus get the amount of entropy dilution

$$\frac{S_{>}}{S_{<}} = \left(\frac{T_{>}}{T_{<}} \right)^3 = \frac{g_*^{1/4} Y_D M_D}{\sqrt{\Gamma_D M_{\text{pl}}}} > 1 \quad (\text{need } 12 \text{ or so})$$

- The amount of dilution depends on the yield Y_D and the mass of D . The more massive, the more energy gets released and transferred into radiation.

- For a relativistic freeze-out, we may get the

$$\text{maximal yield } Y_D = \frac{n_D}{s} = \frac{3 \zeta(3) T^3 \cdot 45}{2\pi^2 2\pi^2 g_* T^3} = \frac{135 \zeta(3)}{4 \pi^4}$$

- Finally, $\Gamma_D \propto \tau_D^{-1}$ and the longer we wait, the more D will dominate over radiation and more dilution will occur. In practice BBN limits $\tau_D \lesssim 1 \text{ sec}$.

- Plugging in the above expressions we get from

$$\Omega_{\nu_e} = 3 \left(\frac{m_{\nu_e}}{\text{keV}} \right) \quad \implies \quad \Omega_{\nu_e} \sim 0.23 \left(\frac{m_{\nu_e}}{\text{keV}} \right) \left(\frac{2 \text{ GeV}}{M_D} \right) \sqrt{\frac{1 \text{ sec}}{\tau_D}}$$

OVER-PRODUCTION

ENTROPY
DILUTION

CORRECT YIELD / DM
RELIC DENSITY ✓

MISALIGNMENT PRODUCTION : AXION DM

- The final production mechanism that we will consider, is the axion, a (pseudo)scalar field ϕ .
- Here, the DM is in the form of a coherent scalar field, whose oscillations contribute to energy density
- that scales as matter.
- We are dealing with a field in an expanding FLRW universe, so no Boltzmann, but instead

$$S = \int_V \sqrt{-g} \left(\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V + \dots \right) = \int_V \mathcal{L}$$

- $g_{\mu\nu} = \text{diag}(-1, a^2, a^2, a^2)$ additional interactions of ϕ , not important for e.o.m.

$$\sqrt{-g} = \sqrt{-\det g} = \sqrt{-(-a^6)} = a^3, \quad g^{\mu\nu} = \text{diag}(-1, a^{-2}, a^{-2}, a^{-2})$$

- The axion potential appears when $T \lesssim \Lambda_{\text{QCD}}$

and is approximately

$$V(\phi) \equiv m^2 f_a^2 \left(1 - \cos\left(\frac{\phi}{f_a}\right) \right),$$

\uparrow
 scale of PQ symmetry breaking

- The axion comes as an accidentally light particle, a Goldstone boson of a global Peccei-Quinn (PQ) symmetry. This symmetry would exist at very high scales $f_a \sim 10^{10}$ GeV (bounds from stellar cooling) and

$$m_a f_a \approx m_\pi f_\pi$$

- We can expand the potential for $\phi \ll f_a$ as

$$V(\phi) \approx \frac{1}{2} m^2 \phi^2 - \frac{1}{4} \left(\frac{m}{f_a}\right)^2 \phi^4 + \dots$$

$$\mathcal{L} = -a^3 \frac{1}{2} \dot{\phi}^2 + \frac{1}{2} a^3 a^{-2} (\nabla \phi)^2 - V(\phi) a^3$$

- The extremization of the action leads to Euler-Lagrange:

$$\partial_\mu \frac{\delta \mathcal{L}}{\delta \partial_\mu \phi} - \frac{\delta \mathcal{L}}{\delta \phi} = 0 \Rightarrow \partial_0 \frac{\delta \mathcal{L}}{\delta \partial_0 \phi} + \partial_i \frac{\delta \mathcal{L}}{\delta \partial_i \phi} - \frac{\delta \mathcal{L}}{\delta \phi} = 0$$

or:

$$\frac{d}{dt} (-\dot{\phi} a^3) + a \nabla^2 \phi - \frac{dV}{d\phi} a^3 = 0 \quad | :(-a^3)$$

$$\ddot{\phi} + 3\frac{\dot{a}}{a} \dot{\phi} - \frac{\nabla^2 \phi}{a^2} + \frac{dV}{d\phi} = 0, \quad \frac{dV}{d\phi} \approx m^2 \phi^2$$

- This gives us the axion equation of motion

$$\boxed{\ddot{\phi} + 3H\dot{\phi} - \frac{\nabla^2 \phi}{a^2} + m^2 \phi^2 = 0}$$

- This is a damped harmonic oscillator, when $\nabla^2\phi$ can be neglected. This is a good approximation if PQ breaking happens above the scale of inflation.

$$H_I > f_a : \ddot{\phi} + 3H\dot{\phi} + m^2\phi = 0$$

- In the early universe H dominates and freezes the ϕ

$$3H\dot{\phi} \approx 0, \quad \dot{\phi} = 0, \quad \phi = \phi_0$$

- When H starts to drop, ϕ is released and begins to oscillate, which produces the energy density.

- The ρ and pressure \mathcal{P} can be obtained from $T_{\mu\nu} = \begin{pmatrix} -\rho & \\ & p_{ij} \end{pmatrix}$

$$T_{\mu\nu} = -\frac{2}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}} \Rightarrow \begin{cases} \rho = \frac{1}{2}\dot{\phi}^2 + \frac{1}{2}m^2\phi^2 + \frac{1}{2a^2}(\nabla\phi)^2, \\ \mathcal{P} = \frac{1}{2}\dot{\phi}^2 - \frac{1}{2}m^2\phi^2. \end{cases}$$

- Let us solve $\phi(t)$ in radiation domination, where

$$\text{RADIATION} : H = \frac{1}{2t}, \quad H^2 = \frac{8\pi G}{3} \frac{\pi^2}{30} g_* T^4$$

$$\ddot{\phi} + \frac{3}{2t}\dot{\phi} + m^2\phi = 0, \quad \text{solution: } \phi(t) = \phi_0 \Gamma\left(\frac{5}{4}\right) \left(\frac{2}{mt}\right)^{1/4} J_{1/4}(mt).$$

$$\text{Boundary conditions: } \dot{\phi}(0) = 0, \quad \phi(0) = \phi_0.$$

$$\ddot{\phi} + \frac{3}{2t} \dot{\phi} + m^2 \phi = 0 \quad ; \quad t \text{ small} : \dot{\phi} = 0$$

$$\phi(t) = \phi_0 \Gamma\left(\frac{5}{4}\right) \underbrace{\left(\frac{2}{\omega t}\right)^{3/4}}_{\text{drops with } t \text{ and oscillates}} J_{3/4}(\omega t)$$

drops with t and oscillates

- the only energy scale here is $m \approx \frac{m_\pi f_\pi}{f_a}$ and it sets

the frequency of oscillations, e.g.: $m = \mu\text{eV} \Leftrightarrow t \approx 1\text{ns}$, via

$$\bullet \quad \hbar = c = 1 : \quad \frac{\hbar c}{c} = \frac{200 \text{ eV nm s}}{3 \cdot 10^8 \text{ m/s}} \approx 10^{-6} \text{ eV ns} \Rightarrow \mu\text{eV} \cdot \text{ns} = 1$$

- At late times the Bessel can be expanded and we get
 $t \gg \omega^{-1}$

$$\phi(t) \approx \phi_0 \Gamma\left(\frac{5}{4}\right) \frac{1}{\sqrt{\pi}} \left(\frac{2}{\omega t}\right)^{3/4} \sin\left(\omega t + \frac{\pi}{8}\right)$$

drops down faster than the first term

$$\Downarrow$$

$$\dot{\phi}(t) \approx \phi_0 \Gamma\left(\frac{5}{4}\right) \frac{1}{\sqrt{\pi}} \left(\frac{2}{\omega t}\right)^{3/4} \omega \cos\left(\omega t + \frac{\pi}{8}\right) + \mathcal{O}\left((\omega t)^{-3/4-1}\right)$$

- While $(\omega t)^{3/4}$ is decreasing, we can average over the oscill.

$$\langle \phi^2 \rangle = \phi_0^2 \Gamma\left(\frac{5}{4}\right)^2 \frac{1}{\pi} \left(\frac{2}{\omega t}\right)^{3/2} \frac{1}{2}, \quad \langle \dot{\phi}^2 \rangle = \omega^2 \langle \phi^2 \rangle.$$

- The energy density $\langle \rho \rangle$ and pressure $\langle P \rangle$ are then:

$$\langle \rho \rangle = \frac{1}{2} \langle \dot{\phi}^2 \rangle + \frac{1}{2} \langle \phi^2 \rangle \omega^2 = m^2 \langle \phi^2 \rangle = \frac{\Gamma(5/4)^2}{2\pi} m^2 \phi_0^2 \left(\frac{2}{\omega t}\right)^{3/2}$$

$$\langle P \rangle = \frac{1}{2} \langle \dot{\phi}^2 \rangle - \frac{1}{2} \langle \phi^2 \rangle \omega^2 \approx \phi_0^2 \quad \text{PRESSURE-less.}$$

- We see that the primordial axion classical field behaves similar to matter. It is pressureless $P \approx 0$ and we will also see that similar to $\rho_{DM} \approx m_{DM} n_{DM}$, where $n_{DM} \propto a^{-3}$, the $\rho_\phi = \langle \rho \rangle$ also scales as a^{-3} .

$$\langle \rho \rangle = \rho_\phi = \frac{\Gamma(5/4)^2}{2\pi} m_\phi^2 / 2 \left(\frac{2}{mt} \right)^{3/2} \propto t^{-3/2}, \text{ and we are}$$

in RADIATION domination, so $H \propto t^{-1/2}$ or: $\frac{\dot{a}}{a} = \frac{1}{2t}$; $\frac{da}{a} = \frac{dt}{2t}$
 and $a \propto t^{1/2} \Rightarrow \rho_\phi \propto (a^2)^{-3/2} = a^{-3}$, which scales like non-relativistic matter \Rightarrow a good DM candidate.

- RELIC DENSITY of the axion DM field Ω_ϕ

To calculate Ω_ϕ , we will identify the time t_x , when the oscillations begin and identify n_x . Then we proceed just like with freeze-out (in) and observe that

$$\frac{n_x}{s_x} = \frac{n_0}{s_0}, \text{ to get to } n_0, \text{ the number density today.}$$

- Onset of oscillations, when: $H = m$ or $\frac{1}{2t_x} = m$.

• The n_* at the onset comes from $\int \phi = m n_*$, such that

$$n_* = \frac{\int \phi(t_*)}{m} = m \langle \phi^2 \rangle(t_*) = \frac{\Gamma(5/4)^2}{2\pi} m \phi_0^2 \left(\frac{2}{m t_*} \right)^{3/2}, \text{ with } t_* = \frac{1}{2},$$

$$= \frac{4}{\pi} \Gamma(5/4)^2 m \phi_0^2 \cdot \underbrace{4^{3/2}}_{=8}$$

This corresponds to the temperature T_* , which we get from the usual Friedmann equation:

$$H(T_*) = \omega = \sqrt{\frac{8\pi^3 g_*}{90 M_{Pl}^2}} T_*^2$$

Temperature at the onset of oscillations T_* :

$$T_* = \left(\frac{90}{8\pi^3 g_*} \right)^{1/4} \sqrt{m M_{Pl}} \left. \vphantom{\left(\frac{90}{8\pi^3 g_*} \right)^{1/4}} \right\} \text{ a geometric mean of the axion \& Planck mass}$$

• We can now calculate the $Y = \frac{n}{s}$ yield at T_* :

$$\frac{n_*}{s_*} = \frac{24 \Gamma(5/4)^2 m \phi_0^2 \cdot 45}{2\pi^3 g_* T_*^3} = \frac{90 \Gamma(5/4)^2 m \phi_0^2}{\pi^3 g_* (m M_{Pl})^{3/2}} \left(\frac{8\pi^3 g_*}{90} \right)^{3/4}$$

$$\propto m^{-1/2}$$

• To get the relic density we first rescale to today

$$\frac{n_0}{s_0} = \frac{n_*}{s_*} \Rightarrow n_0 = \frac{n_*}{s_*} s_0, \quad s_0 = \frac{2\pi^2}{45} \frac{43}{11} T_0^3$$

photons and colder neutrinos

$$\bullet \text{ also } f_{ax} = \frac{\rho_{ax}}{\Omega_\gamma}, \quad f_\gamma = \frac{2\pi^2}{30} T_0^4$$

• Now we get to the final relic density, and write $\phi_0 =$

$$\begin{aligned} \Omega_\phi &= m n_\phi = m \frac{n_*}{s_*} \frac{2\pi^2 \cdot 43}{45 \cdot 11} \frac{T_0^2 \Omega_r 30}{2\pi^2 T_0^4} \quad \begin{array}{l} \theta_0 f_a \\ \uparrow \\ \text{dimensionless} \\ \text{"angle"} \end{array} \\ &= \frac{86}{33} \frac{m}{T_0} \frac{n_*}{s_*} \\ &= 0.26 \theta_0^2 \left(\frac{m}{10^{-22} \text{eV}} \right)^{1/2} \left(\frac{f_a}{10^{17} \text{GeV}} \right)^2 \left(\frac{10}{g_*} \right)^{1/4} \\ &= 0.26 \theta_0^2 \left(\frac{m}{\mu\text{eV}} \right)^{1/2} \left(\frac{f_a}{10^{10} \text{GeV}} \right)^2 \left(\frac{10}{g_*} \right)^{1/4}. \end{aligned}$$

This is the final result. We got to the axion relic density, which is $\propto \sqrt{m}$ and $\propto f_a^2$.

The θ_0 is called the mis-alignment angle and is an arbitrary initial parameter, which clearly has to be $\theta_0 \neq 0$ for this mechanism to work.

• Beyond this simple analysis, one has to worry about inflation and other means of producing axions from topological defects. Fascinating, but beyond these lectures :-)